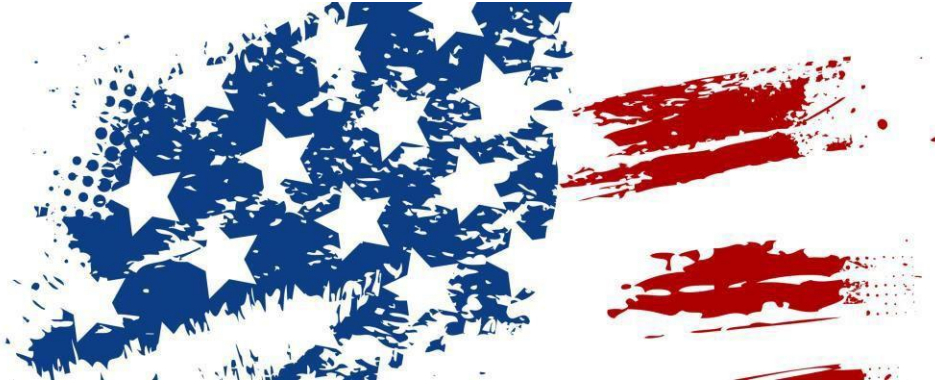


Sarbanes-Oxley Compliance Professionals Association (SOXCPA)
1200 G Street NW, Suite 800, Washington DC 20005-6705, USA
Tel: 202-449-9750, Web: www.sarbanes-oxley-association.com



Frequently Asked Questions

1. I want to know more about the Sarbanes-Oxley Compliance Professionals Association (SOXCPA).

The SOXCPA is the largest association of Sarbanes-Oxley professionals in the world.

The association is wholly owned by Compliance LLC, a company incorporated in Wilmington NC and offices in Washington DC, a provider of risk and compliance training in 36 countries.

Several business units of Compliance LLC are very successful associations that offer standard, premium, and lifetime membership, weekly or monthly updates, training, certification, Authorized Certified Trainer (ACT) programs, advocacy, and other services to their members.

2. Does the association offer training?

The SOXCPA offers distance learning and online certification programs in all countries, and in-house instructor-led training in companies and organizations in many countries.

A. Distance learning and online certification programs.

A1. Certified Sarbanes-Oxley Expert (CSOE), distance learning and online certification program.

To learn more, you may visit:

[www.sarbanes-oxley-association.com/Distance Learning and Certification.htm](http://www.sarbanes-oxley-association.com/Distance%20Learning%20and%20Certification.htm)

A2. Certified Japanese Sarbanes-Oxley Expert (CJSOXE), distance learning and online certification program.

J-SOX is the unofficial term that refers to Japan's Financial Instruments and Exchange Law that was promulgated by the Japanese National Diet in June 2006, and to other laws, guidelines, and standards.

The Japanese framework is modeled after the U.S. Sarbanes-Oxley Act.

To learn more, you may visit:

www.sarbanes-oxley-association.com/CJSOXE_Distance_Learning_and_Certification.htm

B. Instructor-led training.

The association develops and maintains two certification programs and many tailor-made training programs for directors, executive managers, risk and compliance managers, internal and external auditors, data owners, process owners, consultants, suppliers, and service providers.

For instructor-led training, you may contact Lyn Spooner at:

lyn@sarbanes-oxley-association.com

3. Is there any discount available for the distance learning programs?

Unfortunately, we do not offer any discount for the first program. We want to keep the cost of the programs so low for all members.

You have a \$100 discount only after you purchase the CSOE *or* the CJSOXE program, and it applies to each one of the programs that follow:

- a. Certified Risk and Compliance Management Professional (CRCMP).
- b. Certified Information Systems Risk and Compliance Professional (CISRCP).
- c. Certified Cyber (Governance Risk and Compliance) Professional - CC(GRC)P.
- d. Certified Risk and Compliance Management Professional in Insurance and Reinsurance - CRCMP(Re)I.

There are programs offered by the International Association of Risk and Compliance Professionals (IARCP, <https://www.risk-compliance-association.com>). The SOXCPA and the IARCP are both wholly owned by Compliance LLC.

For example, you can purchase the CSOE program for \$147, and *then* purchase the CRCMP program for \$197 (instead of \$297), and/or the CISRCP program for \$197 (instead of \$297), and/or the CC(GRC)P program for \$197 (instead of \$297), and/or the CRCMP(Re)I program for \$197 (instead of \$297).

The CRCMP has become one of the most recognized programs in risk management and compliance. There are CRCMPs in 32 countries. Companies and organizations like Accenture, American Express, USAA etc. consider the CRCMP a preferred certificate. You can find more at: https://www.risk-compliance-association.com/CRCMP_Jobs_Careers.pdf

4. Why do you accept payments via PayPal only, for the distance learning programs?

There are important threats to data security in today's IT landscape. On top of that, new data protection regulations around the world put tough requirements on organisations that store “personally identifiable data”, including credit card information.

These two factors could increase the cost of our programs dramatically. We accept payments via PayPal for the distance learning programs, to minimize the cost of compliance and administration. PayPal keeps and protects your financial information, not us, so we can keep the cost of the program so low.

With PayPal you have some additional benefits. You can keep the program for 59 days to evaluate it, and if you do not like it, you can simply send us an email and ask for a full refund, no questions asked. We will refund your payment in less than 24 hours. PayPal offers this escrow service (an escrow generally refers to money held by a third-party, PayPal, on behalf of the transacting parties). In this way, there is absolutely no risk for you.

If you do not have a PayPal account and you do not want to create one, somebody else with a PayPal account can also pay for you. After the payment, just let us know, and we will update the record.

5. Are your training and certification programs vendor neutral?

Yes. We do not promote any products or services, and we are 100% independent.

6. I want to learn more about the exam.

You will be given 90 minutes to complete a 35-question multiple-choice exam. You must score 70% or higher.

We do not send sample questions. If you study the presentations, you can score 100%.

7. How comprehensive are the presentations? Are they just bullet points?

The presentations are not bullet points. They are effective and appropriate to study online or offline.

8. Do I need to buy books to pass the exam?

No. If you study the presentations, you can pass the exam. All the exam questions are clearly answered in the presentations.

If you fail the first time, you must study more. Print the presentations and use Post-it to attach notes, like "302", "404" etc., to know where to find the answer of a question.

9. Is it an open book exam? Why?

Yes, it is an open book exam. Risk and compliance management is something you must understand and learn, not memorize. You must acquire knowledge and skills, not commit something to memory.

10. Do I have to sit for the exam soon after receiving the presentations?

No. You can sit for the exam from your office or home, any time in the future. Your account never expires and there is no restriction of any kind.

11. Do I have to spend more money in the future to remain certified?

No. Your certificates never expire. They will be valid, without the need to spend money or to sit for another exam in the future.

12. Ok, the certificates never expire, but things change.

Recertification would be a great recurring revenue stream for the association, but it would also be a recurring expense for our members. We resisted the temptation to "introduce multiple recurring revenue streams to keep business flowing", as we were consulted. No recertification is needed for our programs.

Things change, and this is the reason you need to become (at no cost) a member of the association. Every month you can visit the "Reading Room" of the association and read our newsletter with updates, alerts and opportunities, to stay current.

13. How many hours do I need to study to pass the exam?

You must study the presentations at least twice, to ensure you have learned the details. The average time needed is about 26 hours for the CSOE program and 32 hours for the CJSOXE program, but there are important differences.

14. Why should I get certified?

Firms and organizations hire and promote "fit and proper" professionals who can provide evidence that they are qualified.

Employers need assurance that employees have the knowledge and skills needed to mitigate risks and accept responsibility. Supervisors and auditors ask for independent evidence that the process owners are qualified, and that the controls can operate as designed, because the persons responsible for these controls have the necessary knowledge and experience.

The marketplace is clearly demanding qualified professionals in risk and compliance management. Certified professionals enjoy industry recognition and have more and better job opportunities.

It is important to get certified and to belong to professional associations. You prove

that you are somebody who cares, learns, and belongs to a global community of professionals.

15. Why should I choose your certification programs?

It is always good to search for other programs too.

We strongly believe that we offer the best value for money compared to all other Sarbanes-Oxley training and certification programs.

- a. The Sarbanes-Oxley Compliance Professionals Association (SOXCPA) is the largest association of Sarbanes-Oxley professionals in the world.
- b. The all-inclusive cost of our programs is very low. There is no additional cost for each program, now or in the future, for any reason.
- c. There are 3 exam attempts per year that are included in the cost of each program, so you do not have to spend money again if you fail.
- d. No recertification is required. Your certificates never expire.
- e. You become a member of the association, you get certified, and you receive monthly updates, news and alerts.

If you search the web, you will not find the value for money offered by the SOXCPA.