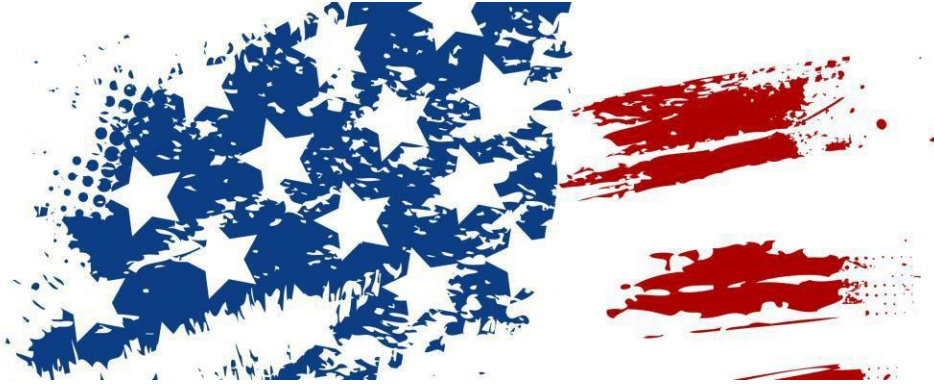


Sarbanes Oxley Compliance Professionals Association (SOXCPA)  
1200 G Street NW, Suite 800, Washington DC 20005-6705, USA  
Tel: 202-449-9750, Web: [www.sarbanes-oxley-association.com](http://www.sarbanes-oxley-association.com)



## *Frequently Asked Questions*

*I want to know more about the Sarbanes Oxley Compliance Professionals Association (SOXCPA)*

It is the largest association of Sarbanes Oxley professionals in the world.

The association is wholly owned by Compliance LLC, a company incorporated in Wilmington NC and offices in Washington DC, a provider of risk and compliance training and executive coaching in 36 countries.

Several business units of Compliance LLC are very successful associations that offer standard, premium, and lifetime membership, weekly or monthly updates, training, certification, Authorized Certified Trainer (ACT) programs, advocacy, and other services to their members.

*Does the association offer training?*

A. Distance learning and online certification programs.

A1. Certified Sarbanes Oxley Expert (CSOE), distance learning and online certification program. To learn more, you may visit:  
[www.sarbanes-oxley-association.com/Distance Learning and Certification.htm](http://www.sarbanes-oxley-association.com/Distance_Learning_and_Certification.htm)

A2. Certified Japanese Sarbanes-Oxley Expert (CJSOXE), distance learning and online certification program.

J-SOX is the unofficial term that refers to Japan's Financial Instruments and Exchange Law that was promulgated by the Japanese National Diet in June 2006, and to other laws, guidelines, and standards.

The Japanese framework is modeled after the U.S. Sarbanes Oxley Act.

To learn more, you may visit:

[www.sarbanes-oxley-association.com/CJSOXE Distance Learning and Certification.htm](http://www.sarbanes-oxley-association.com/CJSOXE_Distance_Learning_and_Certification.htm)

B. Instructor-led training.

The association develops and maintains two certification programs and many tailor-made training programs for directors, executive managers, risk and compliance managers, internal and external auditors, data owners, process owners, consultants, suppliers, and service providers.

For instructor-led training, you may contact Lyn Spooner at:

[lyn@sarbanes-oxley-association.com](mailto:lyn@sarbanes-oxley-association.com)

*Are your training and certification programs vendor neutral?*

Yes. We do not promote any products or services. We are 100% independent.

*I want to learn more about the exam.*

You will be given 90 minutes to complete a 35-question multiple-choice exam. You must score 70% or higher.

We do not send sample questions. If you study the presentations, you can score 100%.

*How comprehensive are the presentations? Are they just bullet points?*

The presentations are not bullet points, you can read them, understand, and learn. These are the official presentations we use in our instructor-led classes.

*Do I need to buy books to pass the exam?*

No. If you study the presentations, you can pass the exam. All the exam questions are clearly answered in the presentations.

If you fail the first time, you must study more. Print the presentations and use Post-it to attach notes, like “COSO”, “Section 302” etc., to know where to find the answer of a question.

*Is it an open book exam? Why?*

It is an open book exam. Risk and compliance management is not something you have to memorize, it is something you must understand and learn.

*Do I have to sit for the exam soon after receiving the presentations?*

No. You can sit for the exam from your office or home, any time in the future. Your

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account never expires, and there is no restriction of any kind.

*Do I have to spend more money in the future to remain certified?*

No. Your certificate never expires. It will be valid, without the need to spend money or to sit for another exam in the future.

*Ok, the certificate never expires, but things change.*

Recertification would be a great recurring revenue stream for the association, but it would also be a recurring expense for our members. We resisted the temptation to “introduce multiple recurring revenue streams to keep business flowing”, as we were consulted. No recertification is needed for our programs.

Things change, and this is the reason you need to become (at no cost) a member of the association. You will receive our monthly newsletter with updates, alerts, and opportunities to stay current.

*Why should I get certified?*

Firms and organizations hire “fit and proper” professionals who can provide evidence that they are qualified.

Companies and organizations need assurance that employees have the knowledge and skills needed to mitigate risks, and to accept more responsibility. Supervisors and auditors ask for independent evidence that the process owners are qualified, and that the controls can operate as designed, because the persons responsible for these controls have the necessary knowledge and experience.

The marketplace is clearly demanding qualified professionals in risk and compliance management. Certified professionals enjoy industry recognition and have more and better job opportunities.

It is important to get certified and to belong to professional associations. You prove that you are somebody who cares, learns, and belongs to a global community of professionals.

*Why should I choose your certification program?*

It is always good to do your research. You may look for other programs, using any search engine.

We strongly believe that we offer the best value for money, compared to all other Sarbanes Oxley training and certification programs:

1. The Sarbanes Oxley Compliance Professionals Association (SOXCPA) is the largest association of Sarbanes Oxley professionals in the world.
2. The all-inclusive cost of the program is very low. There is no additional cost for

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our programs, now or in the future, for any reason.

3. There are 3 exams that are included in the cost of the program, so you do not have to spend money again if you fail.

4. No recertification is required. Your certificate never expires.

5. If you purchase a second program from our associations, you have a \$100 discount. For example, if you purchase the CSOE program at \$147, you can purchase the Certified Risk and Compliance Management Professional (CRCMP) program, or the Certified Information Systems Risk and Compliance Professional (CISRCP) program, or the Certified Cyber (Governance Risk and Compliance) Professional - CC(GRC)P program at \$197, instead of \$297.

6. You become a member of the association, you get certified, and you receive monthly updates, news, and alerts. If you search the web, you will not find the value for money offered by the SOXCPA.