

**Sarbanes Oxley Compliance Professionals
Association (SOXCPA)**
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Frequently Asked Questions

1. I want to know more about the Sarbanes Oxley Compliance Professionals Association (SOXCPA)

The Sarbanes Oxley Compliance Professionals Association is the largest association of Sarbanes Oxley professionals in the world.

The association is wholly owned by Compliance LLC, a leading provider of risk and compliance management training and executive coaching in 36 countries.

Several business units of Compliance LLC are very successful associations that offer standard, premium and lifetime membership, weekly or monthly updates, training, certification, Authorized Certified Trainer (ACT) programs, advocacy and other services to their members.

2. Does the association offer training?

A. Distance learning and online certification programs:

A1. **Certified Sarbanes-Oxley Expert (CSOE)** - Distance learning and online certification program

To learn more you may visit:

[www.sarbanes-oxley-association.com/Distance Learning and Certification.htm](http://www.sarbanes-oxley-association.com/Distance_Learning_and_Certification.htm)

A2. **Certified EU Sarbanes Oxley Expert (CEUSOE)** - Distance learning and online certification program.

The 8th Company Law Directive of the European Union is similar to the US Sarbanes Oxley Act.

To learn more you may contact us.

A3. [Certified Japanese Sarbanes-Oxley Expert \(CJSOXE\)](#) - Distance learning and online certification program.

J-SOX is the unofficial term that refers to Japan's Financial Instruments and Exchange Law that was promulgated by the Japanese National Diet in June 2006, and to other laws, guidelines and standards.

The Japanese framework is modeled after the U.S. Sarbanes-Oxley Act.

To learn more you may visit:

www.sarbanes-oxley-association.com/CJSOXE_Distance_Learning_and_Certification.htm

B. Instructor-led training:

The Sarbanes Oxley Compliance Professionals Association (SOXCPA) develops and maintains three certification programs and many tailor-made training programs for directors, executive managers, professionals working for entities that volunteer to comply with the Sarbanes Oxley Act, banks and financial organizations that follow the COSO or the COSO ERM framework and need to comply with both the Sarbanes Oxley Act and the Basel II / Basel III framework, consultants, vendors, service providers, auditors and legal counsels around the world. Subject matter experts review and update this body of knowledge.

For instructor-led training, you may contact Lyn Spooner at lyn@sarbanes-oxley-association.com explaining what you need, and we will contact you as soon as possible.

3. Which are the benefits of these certification programs?

Compliance and risk management have become more important after the crisis. Firms have to provide evidence that they rely on qualified professionals.

Our certificates can benefit employees, consultants and organizations.

Benefits for Employees and Consultants

1. **More money:** Several salary surveys reveal the power of certificates to boost income.

These surveys show that [certified professionals earn more money](#) than non-certified professionals, as their skills grow and can command a higher pay check.

2. **Better position:** Certificates are important when being considered for a promotion or other career opportunities.

You give the necessary assurance that you have the knowledge and skills to accept more responsibility.

3. **Better job:** Certificates differentiate yourself from your competitors.

4. **Professional credentials:** Certification and training listed on your resume demonstrates your ability and your desire to stay current.

Benefits for Organizations

1. **Independent evidence:** Certificates serve as independent evidence that employees and contractors have the skills required to support risk and compliance management projects.

2. **Job satisfaction:** Certified employees are more satisfied and more productive than their non-certified counterparts.

3. **Due care:** Certified professionals greatly assist employers in constructing a viable risk and compliance management program and proving that they exercise due care.

4. Are your training courses and certification programs vendor neutral?

Yes. We do not promote any products or services. We are 100% independent.

5. How are the exams developed?

The association develops and maintains a compendium of risk and compliance management topics. Subject matter experts review and update this body of knowledge.

All the questions of the exams have been reviewed and approved by a group of subject experts on behalf of the association.

6. Do I need to buy books to pass the exams?

No. If you study the slides carefully, you can pass the exams.

If you fail the first time, it is not a failure; it is an opportunity to study more.

Print the slides and use Post-it to attach notes like "COSO" "Operational Risk" etc. to know where to find the answer of the material you may not remember.

7. You have open book exams! Why?

Risk and compliance management is not something you have to memorize; it is something you have to understand.

8. How much time do I have to answer the questions?

You have 90 minutes to complete a 35 question multiple-choice exam.

9. What score do I need to pass each exam?

You must score 70% or higher.

11. I have studied the presentations and now I want to sit for the exam.

You will find all necessary details at:

www.sarbanes-oxley-association.com/CSOE_Certification_Steps_1.pdf

www.sarbanes-oxley-association.com/CJSOXE_Certification_Steps_1.pdf

12. How can the Sarbanes Oxley Compliance Professionals Association (SOXCPA) assist me in my career?

You can explore what we offer to our members:

1. **Membership** - Become a standard, premium or lifetime member.

You may visit:

www.sarbanes-oxley-association.com/How_to_become_member.htm

2. **Monthly Updates** - Subscribe to receive (at no cost) Sarbanes-Oxley related alerts, opportunities, updates and our monthly newsletter:

<http://forms.aweber.com/form/30/1922348130.htm>

3. **Training and Certification** - Become a Certified Sarbanes Oxley Expert (CSOE).

You must follow the steps described at:

www.sarbanes-oxley-association.com/Distance_Learning_and_Certification.htm

For instructor-led training, you may contact us. We can tailor all programs to your needs.

4. **Authorized Certified Trainer, Certified Sarbanes Oxley Expert Trainer Program (SOXCPA-ACT / CSOET)** - Become an ACT. This is an additional advantage on your resume, serving as a third-party endorsement to your knowledge and experience.

Certificates are important when being considered for a promotion or other career opportunities. You give the necessary assurance that you have the knowledge and skills to accept more responsibility.

To learn more:

www.sarbanes-oxley-association.com/SOXCPA_Authorized_Certified_Trainer.html

