

by **George Lekatis**

6-FIGURE JOBS

RISK & COMPLIANCE MANAGEMENT

and what it takes to get hired

Compliance LLC 



www.compliance-llc.com

WARNING:

**You may not sell or change this eBook.
You may share it with your friends and colleagues.**

6-Figure Jobs in Risk and Compliance Management ...

... and what it takes to get hired

This e-book is for risk and compliance management officers and professionals that wonder what it takes to make six figures, and for anyone else that is looking to get there.



About Compliance LLC

Compliance LLC is a leading provider of risk and compliance training and executive coaching in 36 countries (www.compliance-llc.com).

In our team, we have experienced risk, compliance, IT and information security experts, litigation consultants, certified trainers, expert witnesses and lawyers.

George Lekatis, the author of this e-book, is the General Manager of Compliance LLC.

George has more than 18,000 hours experience as a seminar leader. An internationally recognized expert in risk and compliance management, George is an expert witness, qualified to investigate and testify about best practices, standards and due diligence.



Excellent Courses, Exceptional Venues

The role that the environment plays in learning, solving problems and thinking out of the box is often ignored. In terms of aesthetics and comfort, our venues are second to none.





Our Business Model: Not Simply Training



Associations and Certified Courses

A. International Association of Risk and Compliance Professionals (IARCP)



A1. Certified Risk and Compliance Management Professional (CRCMP)

Instructor Led Prep Course (5 days)

Distance Learning and Online Certification Program



A2. Certified Information Systems Risk and Compliance Professional (CISRCP)

Instructor Led Prep Course (5 days)

Distance Learning and Online Certification Program



More Information:

The Association

www.risk-compliance-association.com

Distance Learning and Online Certification:

www.risk-compliance-association.com/Distance Learning and Certification.htm

www.risk-compliance-association.com/CISRCP Distance Learning and Certification.htm

B. Basel ii Compliance Professionals Association (BCPA)

It is the largest Association of Basel ii professionals in the world



B1. Certified Basel ii Professional (CBiiPro)

Instructor Led Prep Course (3 days)

Distance Learning and Online Certification Program



B2. Certified Pillar 2 Expert (CP2E)

Instructor Led Prep Course (2 days)

Distance Learning and Online Certification Program



B3. Certified Pillar 3 Expert (CP3E)

Instructor Led Prep Course (2 days)

Distance Learning and Online Certification Program



B4. Certified Stress Testing Expert (CSTE)

Instructor Led Prep Course (3 days)

Distance Learning and Online Certification Program



More Information:

The Association

www.basel-ii-association.com

Basel ii Distance Learning and Online Certification

[www.basel-ii-association.com/Distance Learning Online Certification.htm](http://www.basel-ii-association.com/Distance%20Learning%20Online%20Certification.htm)

[www.basel-ii-association.com/Distance Learning Online Certification CP2E.htm](http://www.basel-ii-association.com/Distance%20Learning%20Online%20Certification%20CP2E.htm)

[www.basel-ii-association.com/Distance Learning Online Certification CP3E.htm](http://www.basel-ii-association.com/Distance%20Learning%20Online%20Certification%20CP3E.htm)

[www.basel-ii-association.com/Distance Learning Online Certification CSTE.htm](http://www.basel-ii-association.com/Distance%20Learning%20Online%20Certification%20CSTE.htm)

C. Sarbanes Oxley Compliance Professionals Association (SOXCPA).

It is the largest Association of Sarbanes Oxley professionals in the world



C1. Certified Sarbanes Oxley Expert (CSOE)

Instructor Led Prep Course (3 days)

Distance learning and online certification program



C2. Certified EU Sarbanes Oxley Expert (CEUSOE)

Instructor Led Prep Course (3 days)

Distance learning and online certification program



More Information:

The Association

www.sarbanes-oxley-association.com

Distance Learning and Online Certification

www.sarbanes-oxley-association.com/Distance Learning and Certification.htm

www.sarbanes-oxley-association.com/CEUSOE Distance Learning and Certification.htm

D. International Association of Potential, New and Sitting Members of the Board of Directors (IAMBD)



D1. Certified Member of the Board of Directors (CMBD)

Instructor Led Prep Course (3 days)

Distance Learning and Online Certification Program



D2. Certified Member of the **Risk Committee** of the Board of Directors (CMRBD)

Instructor Led Prep Course (2 days)

Distance Learning and Online Certification Program



D3. Certified Member of the **Corporate Sustainability Committee** of the Board of Directors (CMCSCBD)



More Information:

The Association

www.members-of-the-board-association.com

Distance Learning and Online Certification

[www.members-of-the-board-association.com/Distance Learning and Certification.htm](http://www.members-of-the-board-association.com/Distance_Learning_and_Certification.htm)

[www.members-of-the-board-association.com/Distance Learning for the Risk Committee of the Board.htm](http://www.members-of-the-board-association.com/Distance_Learning_for_the_Risk_Committee_of_the_Board.htm)

[www.members-of-the-board-association.com/Distance Learning for the Sustainability Committee of the Board.htm](http://www.members-of-the-board-association.com/Distance_Learning_for_the_Sustainability_Committee_of_the_Board.htm)

E. International Association of Hedge Funds Professionals (IAHFP)



E1 Certified Hedge Fund Compliance Expert (CHFCE)

Instructor Led Prep Course (5 days)

Distance learning and online certification program



More Information:

The Association

www.hedge-funds-association.com

Distance Learning and Online Certification

[www.hedge-funds-association.com/Distance Learning and Certification.htm](http://www.hedge-funds-association.com/Distance%20Learning%20and%20Certification.htm)

F. Solvency ii Association

The largest Association of Solvency ii professionals in the world



F1. Certified Solvency ii Professional (CSiiP)

Instructor Led Prep Course (3 days)



F2. Certified Solvency ii Equivalence Professional (CSiiEP)

Instructor Led Prep Course (3 days)



More Information:

The Association

www.solvency-ii-association.com

**THIS REPORT HAS BEEN DEVELOPED:
APRIL 2010**

The global marketplace is an intensely competitive environment where specialized knowledge is a matter of survival. You have to develop a personal competitive advantage.

Risk and Compliance Management is becoming much more important.

Now, more than ever, firms and organizations devote much more resources to ensuring their risk management and compliance systems are bulletproof. The damage is done by negative media attention, and only the strongest and best prepared entities will survive.

Manage your career aggressively. Do not allow your career to be on auto-pilot. Do not limit your chances.

Learn what it takes to land to a 6-figure job in risk and compliance management.

Learn to sell yourself.

Get many professional certificates to distinguish yourself from the Bachelor's crowd. This indicates that you stay current and you are able to make informed decisions.

You need a plan:

Prepare for six-figure territory, for permanent, contract or part-time \$100K+ Jobs

Job Description 1:

Director Risk Manager Fixed Income

Compensation: Total 300-350k

The Job

Market Risk - Accountable for the **identification and assessment of market risks** in the covered businesses, and also ensuring consistency with the Banks risk appetite and the approval business mandate.

This will require using system provided data as well as generating customized sensitivities for newer and more complex products.

NY Market Risk Management Liaison - Act as the eyes and ears of Global Risk Management, on the New York trade floor.

First point of contact with New York trade desk on discussing of limits, one off exceptions, strategy etc.

This role also requires ability to work independent of direct management.

P&L and risk Attribution - Ensure the reported daily P&L of New York Rates business is carefully scrutinized to understand risk taking activities and valuation issues.

P&L attribution by risk factor is integral to this process to test accuracy of risk sensitivities.

Valuation - Accountable for ensuring that portfolios are valued properly and in accordance with bank standards, including the execution and documentation of valuation processes.

Risk Limits - Accountable for preparing analysis and recommendations on new products and other market risk limit issues, including limit structure and amounts, for presentation to the Market Risk Management and Policy Committee.

Technology - Represent Market Risk management function in discussions of implementation of new and/or changes to technology supporting trading businesses.

Partnership - Although working in control function, it is essential to work in partnership with the trading desk, P&L Control and Operations units as well as peer market risk teams in London.

Functional Competencies - 7 to 10 years related **experience in risk management or trading role** with direct experience in Fixed Income trading (Treasuries, TIPS, SWAPS and MBS Trading) and valuation.

Experienced with USD fixed income markets preferred.

MBA or post-graduate degree in **economics/finance or a quantitative discipline, and CFA designation** preferred.

Job Description 2: **Market Risk Manager VP**

Job Location: Boston, MA
Status: Full-Time, Employee
Compensation: 150,000-165,000 annually

The Job

The Fixed Income/Rates desk is a newly formed trading desk at our client covering rates products in multiple currencies and locations.

Reporting to the Head of Market Risk, the Market Risk Manager will cover the Rates desk by understanding positions, risks, P&L drivers and strategies behind major changes.

This position will build out and refine market risk monitoring tools covering a wide range of cash and derivative interest rate products, utilizing and integrating existing market risk functionality from multiple source systems.

The individual will need significant work experience in this area and be a hands-on modeller.

The candidate will be an experienced professional who will be able to assume immediately the responsibilities identified in the job description.

The candidate will be expected to rely heavily on experience gained in previous positions, knowledge of the field and sound judgment to play a leading role in the management of market risk for Fixed Income/Rates

Requirements include:

Advanced quantitative or business degree and/or FRM, PRM, CFA

Minimum of 5 years in Interest Rate Derivatives as a Trader, Front Office Quant, Risk Manager or Strategist

Well versed in pricing a broad range of Rates products, including yield curve and volatility modelling

Solid understanding and demonstrated application of risk management metrics such as sensitivity measures, VaR, stress testing

Experience with design of a market risk framework

Experience with Front Office and Risk Management vendor systems

Expertise in coding with C, VBA or similar; modelling tools such as Matlab a plus

Experience working with large and complex data sets, including SQL

Ability to deliver quality results under time pressure

Strong written and verbal communication skills

Job Description 3: **Chief Risk Officer**

Location: Abu Dhabi, United Arab Emirates
Oil Industry
Compensation: 6 figure job

The Job

The Chief Risk Officer (CRO) is responsible for the **leadership and direction in establishing, coordinating, facilitating and monitoring all aspects of risk management.**

2. Principal Accountabilities

2.1 Strategy & Policy

i) Risk Management **strategy and objectives.**

Prepare and manage subsequent amendments for presentation at and approval by the Risk Management Committee (RMC).

ii) Custodian of Risk Management Framework (policy, risk appetite and guidelines manual). Prepare, review and amend as appropriate.

iii) Ensure the **Risk Management Framework remains fit for purpose.**

iv) Developing a comprehensive risk profile.

v) Facilitate the **integration of risk management policy** and strategy into business strategy and activity (business plan) including the **consideration of risk management in investment decisions.**

vi) **Keep abreast of best practice risk management** developments in the market, and use this to evolve risk management.

vii) Where appropriate, identify professional advisors to support the delivery of best practice risk management.

viii) **Ensure regulatory compliance.**

2.2 Risk & control assessment

- i) **Develop & maintain an appropriate set of risk assessment tools** and methodology for use across the firm.
- ii) **Monitor** the implementation and application of ERM techniques across all business activities, providing assistance, where appropriate, in introducing the process of risk identification and assessment.
- iii) Receive risk registers from the Groups and provide challenge on the quality of the risk identification and the completeness of the assessment activity.
- iv) **Evaluate and challenge** the risk assessments completed by the business.
- v) Develops and maintains the Corporate Risk Management framework, including risk registers.
- vi) Identifies and co-ordinates support for the resolution of cross Group/Divisional risk management and Corporate issues.
- vii) Facilitate an annual clean slate risk identification workshop with the ELT members to re-confirm the firm's Corporate risk profile.
- viii) Identify areas of maximum exposure to fraud losses and assess relative risk levels.

2.3 Reporting and Monitoring

- i) Undertake the secretary role to the RMC and ensure all relevant information is available for it to **execute its risk management responsibilities effectively**.
- ii) Leads and manages review exercise to confirm or update risk register and ranking.
- iii) Present to the RMC the Company's Risk Profile for review, any amendment and approval.

iv) Prepare a monthly update to RMC members on the progress against agreed actions to further mitigate high/critical residual risks.

v) **Monitor** the overall level of risk across the firm and assist the RMC/ Audit Committee/Board in debating and agreeing on the risk appetite.

vi) Conduct periodic independent review of the effectiveness of application of the risk management framework within the Groups/Divisions.

vii) Prepare the annual reports to be included in the Business Plan.

viii) Prepare the annual statements on risk management for inclusion in the Annual Corporate and Social Responsibility report.

2.4 Line Support & Knowledge sharing

i) Liaise with other companies in ADNOC to maximize use of common knowledge and skills.

ii) Provide risk training and coaching to Senior Management and Business Divisions in understanding and obtaining maximum value from ERM.

This will assist in the **embedding of a culture of effective risk management.**

iii) Raise awareness of risk management and internal control across the firm **through ongoing communication.**

iv) Provide support & guidance to divisions on the application of the firm's risk management approach.

This includes assisting in planning, directing and leading of activities related to ERM.

v) Plan and facilitate on-going ERM **workshops** for all levels of the business.

vi) Maintain advisory **relationship with risk coordinators** in each Group/Division.

Required Skills/Experience:

1. Good analytical skills with the ability to identify and evaluate key risks and internal control mechanisms, and focus on the important business issues.
2. Ability to motivate and coach others through change management. Excellent facilitation and presentation skills.
3. Self initiator and able to function independently,
4. Strong influencing skills.
5. Very good command of spoken and written English.
6. Technical skills include MS Excel, Word, and Access.

Desired Skills/Experience:

Required Qualifications:

1. Bachelor's degree in Business or Auditing or Engineering.
 2. 10 years business experience, of which **at least 3 years in the oil and gas business, petrochemical, energy or related industry.**
 3. **Relevant Certificates in Risk management, audit, fraud, and internal controls is useful.**
-

Job Description 4:

VICE PRESIDENT, PRIME BROKERAGE

Company: Merrill Lynch, Financial Services

Job site: New York, NY

Status: Full-Time, Employee

Compensation: 165,000 annually

The Job

Merrill Lynch has an opportunity for a Vice President, Prime Brokerage - Monitor risk exposure of multi-strategy hedge fund clients on a daily basis by looking at risk parameters incl, but not limited to, Greeks, DV01, CS01, & scenario shocks.

Requirements include:

Master's degree or foreign equiv. in **Mathematics of Finance, Engineering (any),** or rel.

Must have exp **developing models to evaluate risk exposure** for OTC & exchange-traded interest rate options, constant maturity swaps, credit derivative products, equity options & convertible bonds.

Must have exp VBA, Excel, Access Database, SQL server, C, & C++

Must have exp SAS, Matlab, & R.?

Job Description 5:

Regulatory reporting management for a global investment bank

Job site: New York, NY

Position Type: Full-Time, Employee

Compensation: 6 Figure job

The Job

Responsible for producing baseline **RWA forecasts** and associated measures used in establishing capital requirements

Leverage regulatory reporting and exposure classification to support baseline RWA inputs used in forecasting and top down assumptions used in projecting RWA's

Interface with P&L team to integrate RWA's into the P&L forecast process

Supply **ICAAP** baseline RWA assumptions for forecasting & stress testing

Interface with ICAAP to produce **stress test scenarios**

Finance skill-set to support P&L forecasting and **ICAAP processes**

Requirements:

7-10 years experience in capital or financial forecasting, and has hands on experience developing and reporting forecast results for a large complex institution

Experience assessing capital requirements under risk based capital methodologies for economic and regulatory capital or Basel II

Strong cross functional and communications skills

Strong analytical training and experience

Bachelors degree required

Job Description 6: **Chief Enterprise Risk Officer**

Schedule: Full-time
Education Level: Masters Degree
Company: Citi Group
Compensation: 6 Figure job

The Job

Manage the **Credit, Operational and Interest Rate Risk** associated with Citicorp Trust Banks (CTB) Mortgage, Credit Cards and Retail Banking (Banamex) model.

The objective of the Risk Management Function is to **maintain a risk profile that meets the requirements of Citigroup** and its oversight organizations while generating profits to the shareholder.

This position requires an immense amount of interaction with senior management within Citi, a matrix relationship with CitiCards, Banamex and Independent Risk organization, interaction with regulators & other governmental agencies and investors.

Effective communication, leadership, and planning are integral to achieving high employee satisfaction and effective dissemination of the overall risk philosophy of CMI.

This position will be responsible for Interest Rate and Operational Risk Management, Quality Control, Underwriting, Appraisal/Collateral Management, Risk Strategy, Credit Policy, Counterparty Risk Management, Fraud Management, Condo/Co-Op, Data Management, Credit Analytics/Loss Forecasting, Credit Models and Default Risk Management for the Risk Management function.

This position will **assess Risk performance**, set the overall Risk agenda and monitor the performance of the originations platform.

It will also be responsible for supporting pricing and finance though managing the loss forecasting process and providing oversight and management of Default Operations.

This position will work directly with senior executives to seek new and creative ways to manage, monitor and assess all areas of Risk within our business.

Duties:

Perform analytics to track, interpret and improve risk performance with our business in order to optimize earnings in a balanced way.

Present data/analysis to Senior Management and the Enterprise Risk Management Committee to ensure understanding of identified risks and, where appropriate, recommended solutions and manage their implementation.

Work closely with Sr. Management and the Enterprise Risk Management Committee to assist in the execution of the strategic agenda-bringing risk/reward and cost/benefit analysis to the table.

Use broad discretion in developing solutions for cross-functional business reporting issues.

Manage a variety of broad based and complex relationship and Operational issues requiring conceptual analysis.

Serve as a key resource for interpreting all data/metrics related to Risk.

Manage multiple high priority visible projects.

Leverage and allocate resources as necessary.

Qualifications

Masters degree in Finance, MBA, or related field or equivalent work experience.

Fifteen to 20 years progressive work experience in Financial Institutions managing Retail Banking, Credit Cards and Real Estate Risk.

Demonstrated track record of success in Risk Management, operational analysis, people management, and project management.

Record of high achievement and demonstrated ability working in a complex environment.

Ability to drive change and complete projects to successful completion.

Ability to **effectively communicate complex topics to a broad audience.**

Strong analytical and quantitative capabilities are required.

Job Description 7:

Technology and Shared Services Chief Risk Officer

Location: New York, NY

Job Terms: Full time

Compensation: 6 figure job

The Job

Senior risk management executive supporting global business lines/sectors.

Serves as a single point of contact for Executive Committee (EC) members running major sectors within the Company, providing comprehensive management of risks for these sectors.

Responsibilities and teams are global.

Qualifications

*** Minimum 10+ years of broad-based experience in information technology and systems management**

*** BA/BS in business information/systems/industrial engineering is required**

*** Strong Understanding of cross-functions within software engineering/infrastructure technology**

*** Understanding of sector business models**

- * Capable of participating in development and integration strategies from **high level frameworks** to policies and procedures, and to assessments and oversight
 - * Proven ability to strongly influence others at all levels, including EC members
 - * Very strong communication and relationship management skills
 - * Staff development / talent management experience and skills
-

Job Description 8: **Director of Risk Management (DRM)**

Job Terms: Full time
Compensation: 6 figure job

The Job

The DRM is a strategic partner within the organization by providing **expert guidance and leading the overall risk management function including risk transfer programs to minimize corporate risk profile, insurance procurement, claims management and implementation of loss control initiatives.**

Performs due diligence on new membership initiatives, contracts, property leases, special projects and construction and acquisitions.

ESSENTIAL DUTIES & RESPONSIBILITIES:

1. Insurance and Claims Management

- Direct plan for company insurance program administration including contracts and renewals.

- Develop and implement **risk financing strategies and alternatives**, including annual insurance renewal strategies and negotiate terms with global insurance companies with assistance from insurance broker.
- Establish and manage budgets, accruals, and financial forecasts on all lines of insurance and loss funds.
- Analyze, recommend, and makes final decisions on the settlement of claims by or against the company, including workers compensation and general liability claims.
- Approve insurance, risk management, and liability components on vendor contracts, property leases and new ventures / special programs contract language.
- Maintain program for Worker's Compensation and General Liability, including self-insurance reserve and actuary analysis, securing bonds for the corporation, and obtaining certificates of insurance.
- Ensure consistent litigation standards across all markets to gain efficiency in claims management process and to reduce litigation cost.
- Perform risk management on-boarding procedures to proactively manage and mitigate risk for new market entries.

2. Program Development and Implementation

- Select and work with various brokers, consultants, and insurers in the development of a comprehensive risk management program.
- Develop programs to avoid or minimize the risk of loss due to natural causes, accident or illness
- Develop and update field training program to ensure compliance with overall Risk Management program.
- Notify and update Senior Leadership Team of issues and trends that affect field operations and costs.
- **Identify, evaluate and implement industry leading practices and risk management techniques.**

- Ensure programs are in place to provide compliance with all Federal and State regulations (including OSHA); respond to complaints and citations; and refute, coordinate and attend hearings when disputing fines and or citations.
- Provide assistance and support to international business locations and monitor effectiveness of global risk management and claims management program.

3. Business Risk Management Profiling and Business Continuity Plan Structuring

- Ensure insurance program attributes address key risk exposures to the Company.
- Validate business continuity planning with key stake holders on an annual basis to take into account any business or organizational changes, and direct testing for effectiveness.
- Maintain Crisis Communication Plan and mobilize Crisis Communication Team to conduct quarterly calibration meetings and to effectively address risk events as they arise.
- Assist Internal Audit in completing annual business risk assessment and business risk profile updates for presentation to Senior Leadership Team and the Audit Committee of the Board of Directors.
- Assist in the design of mitigating action plans with **accountabilities to address identified business risks.**

ORGANIZATION RELATIONSHIPS:

The DRM will interact with various corporate departments to include Human Resources, Legal, Operations, Real Estate, Internal Audit, Loss Prevention and Senior Leadership Team.

In addition, **interaction with outside vendors** is required such as insurance brokers, claim adjusters, outside counsel and insurance company representatives.

This position will also interface with field level managers and staff. This position will directly manage the Risk Manager, Senior Loss Control Analyst and 1-2 Claims Coordinators.

REQUIRED QUALIFICATIONS:

1) Knowledge, skills & abilities:

- **In-depth knowledge of all lines of commercial insurance and risk financing, claims management, loss control, and safety programs.**
- **Excellent communication, negotiation, and problem-solving skills necessary to successfully manage issues with agencies, vendors, and consultants as well as when resolving claims.**
- **Knowledge of alternative funding techniques for property, casualty, financial and health insurance.**
- **Ability to communicate and present ideas in an effective manner.**
- **Ability to influence others.**
- **Strong project management skills.**

2) Minimum certifications/educational level:

- **B.A. degree in Business or related field. Masters preferred.**

3) Minimum experience:

- **8-10 Years experience in Risk Management with progressive responsibility and experience in the areas of risk management and insurance including at least 3 years experience as a Risk Manager or other closely related management position for a multi-unit, multi-state employer.**
- **Must also possess one or more specialized designations related to risk management and or insurance.**
- **Experience with insurance placement and risk financing**

4) Physical Requirements:

- This position will be based in the East Bay but will require travel to Southern California as well as occasional travel to outlying areas.
-

Job Description 9: **Director of Risk Management**

Job Terms: Full time
Compensation: 6 figure job
Telecom

The Job

You can be part of a diverse, imaginative, and talented team of people.

In fact, the answer to our success is and always has been in our people - We value them, reward them, and empower them to succeed.

We give our people a voice by listening to what's important to our employees and our customers.

Our employees enjoy the many rewards of working for a company which offers such things as: extremely competitive benefits, a 401k match of 5% with no vesting period, an employee recognition program, internal training, endless career advancement opportunities, leadership training, cutting edge technology. And many, many more!

Leads and oversees Risk Management Department staff and activities, including company insurance policies and placement, third party loss and claims management, contingency planning/disaster recovery, and fleet management.

ESSENTIAL FUNCTIONS:

Manages insurance premiums in excess of five million dollars, fleet of over 550 vehicles, and more than 70 contingency planning/disaster recovery plans.

Manages staff members including:

Interviewing, hiring, and termination

Establishing goals, objectives, and priorities consistent with company and department strategy

**Monitoring, measuring, and evaluating performance and productivity
Allocating workload and setting deadlines**

Assessing skills, developing, training, mentoring

Ensuring employees have skills, knowledge, and tools to perform successfully

Identifies, evaluates, and solves risk issues to ensure company human, physical, and financial assets are preserved and protected.

Includes:

Measuring financial impact of risk on company

Implementing corrective action as necessary

Preparing operational and risk reports for management analysis

Designs, negotiates, places/implements, and manages corporate property and casualty insurance programs.

Manages claims against company by:

Analyzing company loss data

Determining potential business impact

Administering accident investigation

Handling/overseeing first and third party claims management programs, including claims litigation

Analyzes and interpret contracts to identify potential liability to company and determine need for insurance related language.

Establishes standards by developing and implementing risk management policies and procedures

Oversees company fleet management, including:

Driving policies

Safety programs and procedures

New vehicle purchases

Maintaining existing vehicles

Mentors and develops safety programs, including:

Ensuring corporate compliance with state, federal, and local laws, regulations, and rules regarding workers compensation, OSHA, environment, and insider trading

Overseeing company Safety Steering Committee to enhance and administer behavior based safety training programs

Performs other duties as assigned.

Skills/abilities:

Strong analytical skills

Decision making

Problem solving

Think strategically

EXPERIENCE AND EDUCATION:

Bachelors in business, finance, accounting, or industrial safety or equivalent combination of applicable education/experience

Masters degree or other advanced degree

CPCU, CRM, ARM, CIC, or CSS designation

Experience totaling **7 years in Risk Management, BC/DR (Business Continuity/Disaster Recovery), and/or Claims Management** is required.

Five years experience managing corporate property and casualty risk programs

Previous management/leadership experience preferred

Job Description 10: **Director of Risk Management**

Location: Memphis

Salary: \$95000 - \$140000

Job Type: Full Time

Suggested Degree Level: Bachelor of Science
Healthcare

The Job

The Director of Risk Management has **primary oversight of the facility-wide Risk Management Program and plans, organizes, coordinates and evaluates all aspects of the program** within the facility and communicates with the Corporate Clinical Quality/ Patient Safety and Corporate Legal Departments.

The Director **analyzes risk management data, coordinates risk management educational programs, investigates actual or potential Adverse/Sentinel events, develops and implements appropriate measures to minimize the risk of injuries to patients and visitors and to minimize loss or damage to property, all with the objective of controlling and minimizing loss to protect the assets of the facility.**

The Director acts as a liaison between the facility and legal counsel on actual or potential litigation matters and assists with the preparation and production of discovery documents, the preparation and coordination of employees as witnesses, and attends depositions, mediations or trials as the hospital representative when needed.

POSITION REQUIREMENTS:

M.A./M.S. or equivalent required, JD preferred Licensure/Certification Required: Current RN license to practice in state of TN, current BLS.
Experience Required: 5+ years Risk Management in healthcare.

Job Description 11:

President Risk Management - Basel Capital

Location: New York, NY

Job Terms: Full time

Company: Morgan Stanley

Compensation: 6 figure job

The Job

Morgan Stanley seeks a professional with at least 10 years of financial service experience in a multi-disciplined risk management function as a Vice President in the Market Risk Department (MRD).

The role exists in the Capital and Policy Group within MRD.

The team is responsible for **Firm-wide market risk policy, market risk regulatory capital, and managing the departments interaction with regulators, compliance and auditors.**

The team is involved in the application process to use risk models for regulatory capital, managing regulatory inspections and visits and ensuring that the department responds effectively to regulatory queries.

The team also provides advice to other areas of the Firm on regulatory matters and contributes to the Firms external risk management disclosures.

The principal responsibilities of this position include **the oversight of Revised Basel II market risk efforts and providing advice and guidance on U.S. agencies rules and regulations.**

In order to attract a mix of candidates, the specifications are stated flexibly in terms of a core range of responsibilities, with additional responsibilities expected of a more senior candidate.

Core responsibilities include:

Provide **oversight of Morgan Stanleys Revised Basel II market risk initiatives** from a methodology and practical application standpoint

Provide thought leadership on capital, identify key regulatory changes and communicate to senior management

Active participation in industry **impact studies**

Involve in **application submission to regulators** for new and amended market risk models

Manage regulatory visits relating to risk management and coordinate responses to regulatory queries

Work with Business Unit risk officers to optimize capital usage

Review transactions and assess capital impact upon Business Unit requests

Liaise with Risk Managers to identify and resolve risk issues that impact capital

Partnering with Regulatory Controllers and Treasury to aid in strengthening and promoting the Basel Reform framework

Represent MRD at cross-functional working groups

Engage in extensive project work to develop regulatory processes Skills Required understanding of **Basel I and Revised Basel II rules** and regulations, accounting principles relating to the securities industry;

Must understand the implications of current and proposed regulatory requirements relating to risk management and advise on appropriate actions

Experience in a highly regulated environment and working with regulators; experience of working on regulatory model applications for VaR is advantageous

Solid in quantitative and analytical disciplines, ability to absorb new concepts quickly, analyze implications and make practical recommendations

Knowledge of financial products is essential, including an understanding of risk greek representation

Possess strong verbal and written communication skills and be able to develop quality working relationships

Ability to work independently with initiative and perform under pressure in a highly dynamic environment

Fluency with **MS Excel and experience with VBA**; more generally, the candidate needs to be comfortable using **many different tools to obtain and understand risk information**

Educational Background: Suitable candidates will have an excellent academic background, including a **degree in a quantitative discipline, such as Finance, Economics or related field.**

Higher degrees or other qualifications related to any of the above areas will be advantageous.

Job Description 12: **Vice President, Risk Manager**

Job Category: Management/Executive; Banking/Mortgage

Location: Arlington, MA

Position Type: Full-Time, Employee

Compensation: 6 figure job

The Job

In this newly created position you will be responsible for assisting in the development and maintenance of risk management policies and procedures and the implementation of an effective Risk Management Program, thus **ensuring the Bank is in full compliance with all banking laws, regulations, and internal policies and procedures.**

You will maintain **bank-wide risk assessments** and monitor priority risks across the organization, serve as an advisor to department managers in the area of operational risk, including transaction and compliance, working with the legal and compliance departments while making recommendations to department managers on reducing unacceptable risk exposure.

Additionally you will assist in developing risk mitigation strategies for the organization's critical risks and for monitoring these risks.

You will also assist with establishing, communicating and facilitating the use of appropriate Risk Management methodologies, tools and techniques.

Qualified candidates will possess a college degree with **specialized courses in auditing, accounting, finance or management** along with a minimum of four years of managing risk management and loss control.

A strong understanding of Risk Management principles and philosophy along with the ability to creatively solve problems, analyze large volumes of data and think strategically is a must.

A proven leadership skill with effective communication and facilitation skills is a necessity.

Job Description 13: **Vice President, Risk Manager**

Location: Boston, MA
Job Terms: Full time
Compensation: 6 figure job

The Job

In this role, as part of a senior risk team, the successful candidate will provide leadership, **direction and management of the Risk & Compliance functions** in Fidelity Pricing and Cash Management Services (FPCMS).

Risk & Compliance Services is the face to the Risk and audit community for FPCMS and helps the organization identify, assess and manage risk. FPCMS operates out of multiple sites, provides high quality (timely and accurate) pricing, bookkeeping, custody and fund administration services to multiple investment advisors in an exciting and fast paced environment.

Within the role, this individual will manage multiple priorities including Risk monitoring and forecasting activities for FPCMS and the risk community, while working across the FPCMS team in order to implement new solutions to mitigate risks in a rapidly changing environment driven by service, market, regulatory, process and product changes.

Primary Responsibilities

- * Develop and drive **risk monitoring** program and develop forecasting approaches to proactively manage risk in FPCMS.
- * Develop and maintain strong **relationships** with customers and business partners to understand their business focus and risks while achieving results for the good of the organization.
- * Provide leadership that promotes an environment of intellectual creativity, responsive customer service, strategic thinking and innovative approaches to risk Manage, coach and develop a team of senior risk professionals.
- * Provide technical and organizational leadership in an advisory capacity:
- * **Stay abreast of changes in the areas of government regulations, industry trends in risk management, audit and accounting changes;**
- * Develop and utilize network of industry contacts to determine current and best practices for risk mitigation and management
- * This person will work closely with the senior team of FPCMS and their direct reports, reporting to the Senior Vice President for Risk.

In addition, they will be in regular contact with members of Fidelity's risk community including those supporting the Investment advisors and Transfer Agents.

In addition, close working relationships will be required with both internal audit and external audit service providers.

Education and Experience

* Minimum of Bachelor's degree preferably in Accounting, Finance or Economics

* Masters Degree and/or CPA with Public Accounting experience preferred Skills and Knowledge

* Minimum of 15 years fund accounting, administration and custody financial service industry experience with a minimum of ten years in a relevant risk assessment role. Operations experience preferred.

* Direct experience in the management and implementation of SAS 70, SOX and/or 38a-1 compliance programs.

* In depth understanding of the internal controls for pricing and bookkeeping, custody and fund administration operations.

* Excellent customer service, collaboration, presentation and influence skills.

* Proven leadership and management abilities with the ability to initiate and manage change.

Job Description 14:
Vice President, Risk Manager

Location: Newport Beach, CA
Employee Type: Full-Time
Industry: Banking - Financial Services
Experience: At least 2 year(s)
Compensation: 6 figure job

The Job

Responsible for ensuring the institutions compliance with a multitude of laws which affect the operations of the Bank.

Identifies and recommends changes to policies and procedures regarding all applicable laws and regulations that might have any bearing on the institutions Bank Secrecy Act and/or compliance program.

Essential Duties and Responsibilities

Maintain the corporate BSA/compliance functions.

Maintain the corporate BSA/compliance program.

Administer new regulations or changes to existing regulations and ensure updates to BSA/compliance policies.

Assist in the updates of Bank policies and procedures for BSA/compliance with federal regulations.

Revise and updates to Bank policies and procedures for required changes based on external examinations, internal audits and BSA/compliance reviews.

Manage the training program for required annual BSA/compliance training.

Assist in the preparation for regulatory BSA/compliance, information systems and regular examinations and ensure an adequate corrective action process.

Assists with the development and implementation of written procedures to facilitate and document department's compliance with laws, regulations and rules application to the department for SA/compliance.

Assist with coordination of regulatory agency examinations.

Assist with the BSA/compliance training of new department employees in addition to providing ongoing compliance training for loan production staff.

Provide BSA/compliance advice/answer questions from staff member. Accumulates data for report preparation and prepares reports for Management meetings, department meetings, and regulatory agencies.

Attends BSA/compliance training seminars, as designated by management, to ensure current knowledge of regulatory changes and new rules.

Maintains a high level of professionalism, integrity and credibility. Follows any and all procedures established by the Bank.

Insures the confidential nature of the department by maintaining the security of files, reports and information whether in written form, electronic form or verbal.

Professional image and mature judgment.

Job Description 15:
VP Risk Management Manager

Compensation: 6 figure job

The Job

Bank Secrecy Act (BSA)/Anti Money Laundering (AML) & Compliance

Information Gathering and Reporting

Review the Large Transaction and the Large Cash Transaction Reports for transactions that require CTR filing.

Review the OFAC Suspect Report as a secondary verification of records listed on the report.

Preparation and investigation of case reviews of any accounts found during monthly review or due for a follow up to previous reviews' completed prior to that particular month.

Research and investigate a customer or occurrences reported through a suspicious transaction form to verify incidents reported are actually suspicious and warrant a SAR filing.

Report account review cases in a timely manner to the BSA Officer for review and approval of decisions made to file or not file a SAR.

Prepare and file SAR based on account reviews and or monthly monitoring.

Approval from the BSA Officer is required.

Review CTRs for accuracy and completion before it is sent to the IRS Computing Center.

Notify Branch personnel of error found after reviewing CTRs submitted and obtain a new CTR with the correction made.

Request Due Diligence information and documentation for customer/account reviews that are needed for their files and research.

Ensure that very high risk and high risk customers are rated appropriately at account opening after they are submitted by the branches.

Communicates any updates to new account forms, documentation, and any compliance updates to the BSA Officer or obtained through regular training.

Manage the Patriot Officer Software to be assured the Bank is utilizing all capabilities of the program.

Search wire transfers, monetary instruments and the customer database that are a match to names provided for 314(a) list sent by FINCEN.

Maintain and monitor "Patriot Officer" to be assured the Bank is in compliance with all aspects of BSA and related regulations.

Qualifications

To perform this job successfully, an individual must be able to perform each essential duty satisfactorily.

The requirements listed below are representative of the knowledge, skill, and/or ability required.

Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions.

Education and/or Experience

Two plus years in compliance.

Familiar with all areas of banking.

Knowledge of laws and regulations affecting BSA and compliance.

Clear communication skills, both oral and written.

Good organizational skills responsible and self-disciplined.

Physical Demands

The physical demands described here are representative of those that must be met by an employee to successfully perform the essential functions of this job.

Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions.

While performing the duties of this job, the employee is regularly required to sit; use hands to finger, handle, or feel; and talk or hear.

The employee frequently is required to reach with hands and arms.

The employee is occasionally required to stand; walk; and stoop, kneel, crouch, or crawl.

The employee must frequently lift and/or move up to 10 pounds and occasionally lift and/or move up to 25 pounds.

Specific vision abilities required by this job include close vision, distance vision, peripheral vision, and ability to adjust focus.

Job Description 16: VP Sell Side Risk Manager

Location: New York, NY

Compensation: 6 figure job

The Job

This is an exciting and challenging opportunity due to geographic and product expansion.

It offers the chance to **define and shape a new role within a major sell-side subsidiary distinguished by its unique commitment to research.**

The firm is a highly desirable culture for a professional risk manager to become part of the sell-side management team.

The individual should have substantial sell-side and credit risk experience, a high level of intellectual curiosity, seasoned judgment and bring a commercial orientation protect the firm, mitigate risk while exploiting opportunities with favorable risk/ reward trade-offs.

Job Qualifications

The ideal candidate should have 10 plus years of sell side risk experience in the finance industry, Bachelors degree (MBA preferred) with knowledge of engineering and mathematical finance.

The candidate should have a high level of **intellectual curiosity**, flexibility and a tolerance for ambiguity.

Additionally the candidate should possess a willingness to work in a fluid and dynamic environment where the problems are open-ended and often unstructured.

The ideal candidate will have the ability to view traditional problems in new and novels ways.

Exceptional communication and people skills are vital, particularly the ability to interact with staff members and other control functions.

The candidate should be highly organized and detail oriented and posses excellent knowledge of Excel, PowerPoint, statistical packages and other software applications.

Knowledge of computer programming languages is a plus.

Job Description

The role reports to the Heads of Investment and Operational & Credit/Counterparty Risk with dotted line reporting to the CEO of the Broker Dealer.

The individual is expected to become a trusted advisor to the business and to foster a strong risk culture.

This individual will be involved in evaluating, assessing and approving risk related to cash, program and electronic trading, derivatives, securities lending, prime brokerage, collateral, new products and private clients.

This individual will work closely with other risk management staff around the globe to help identify and manage various risks related to operational risk, counterparty risk and regulatory requirements.

Additionally, the selected candidate will chair the sell-side risk committee, develop risk reporting and governance, and help improve processes and procedures.

Periodic travel to the UK and Asia is required

The individual will be required to work on multiple problems and with various business units, including other control functions.

Work across time zones may also be required from time to time, as this role ultimately involves global risk oversight of the Broker Dealer business.

Job Description 17: **Director of Risk Management**

Location: New York, NY

Compensation: \$140000 to \$180000 per year

The Job

This is an excellent opportunity to join a growing investment manager.

Off of a successful 2009, we anticipate a strong 2010, adding to staff.

In this role you will work closely with the Director of Research, research analysts, and due diligence team.

Responsibilities include developing analytic models and reports to monitor and manage risk over various time horizons, assisting in

developing asset allocation models and analysis of return drivers,
developing processes to manage and monitor risk for portfolio funds.

Qualified applicants will have at least five years relevant industry experience in a hedge fund or fund of funds, with a strong applied quantitative background.

Experience with factor analysis, shock analysis, stress testing, modeling, VAR, DV01, Greeks, and other quantitative measurements are desired.

The candidate must be able to work as part of a team and strong skills are a necessity.

Experience with third-party platforms is a plus, as is strong experience with Excel and associated programming skills.

Required Skills:

Risk Analysis, Financial Modeling, Risk Management, Risk Management - Market, Operational Due Diligence, MS Excel

Job Description 18:

VP Operational Risk/Payments

Location: New York, NY

A base salary up to \$120K base plus bonus.

The Job

Our client, a major international bank, is seeking an Operational Risk VP to join their Operational Risk Management Group in New York City.

An ideal candidate has 7+ years in operational risk, banking operations, auditing, risk management or a control-related environment.

Strong knowledge of payments/settlement/processing is required.

Major Responsibilities:

Manage the **Operational Risk Group's Payment System Risk Program**

Act as point person for all Payment System Risk examinations and audits

Keep abreast of changes in regulatory requirements for payment system risk and update any manuals and internal policies accordingly

Manage the bank's [Regulatory Assessment Program](#)

Evaluate plans and proposals from the operational risk and technology perspectives

Update the group's [regulatory reporting database Requirements](#):

7+ years in banking

Experienced in and have strong understanding of Operational Risk concepts

Knowledge of payments/settlements/processing is required

Solid understanding of banking industry, compliance, regulatory and internal control environment

Detail-oriented

Strong communication skills

Bachelor's degree required

Job Description 19:
Director, Risk Management

Employer: One of the world's top ten largest insurance brokerages

Compensation: 6 figure job

The Job

If you combine strategic thinking with hands-on results orientation, this position offers you the opportunity to make a strong impact in multiple areas of risk management.

You will lead diverse risk management initiatives, all of which have strong buy-in from top leaders on down.

You will ensure we are taking a strategic and robust approach to our E&O claims management, insurance procurement and risk prevention process (along with our VP Quality Management

To be a good fit for this opportunity you will have solid claims management experience and a proven track record of effectively mitigating claims.

We're looking for a smart, proactive professional with a demonstrated ability to transform a concept into a project and then "roll up the sleeves" to manage that project through to results.

Job Description 20:

VP, Global Head, Drug Safety & Risk Mgmt

Location: US-MA-Cambridge

Job Category: Drug Safety

Compensation: 6 figure job

The Job

The Vice President of North America Post-Marketing Safety in partnership with the SVP, DSRM and the QP in Europe is responsible for pharmacovigilance for marketed products, and has direct oversight for US and Canadian pharmacovigilance of all marketed products and the global clinical development of those marketed products.

This position oversees the full safety function from the operational case processing, review and analysis of safety data to the identification and investigation of potential safety signals.

A member of the senior management team

Qualifications

Work closely with the SVP of Drug Safety Risk Management (and the QPPV in Europe) to establish, maintain, and direct a global drug safety surveillance and pharmacovigilance program for all marketed products.

Serve as the principal contact with North American regulatory agencies for interactions concerning safety issues for marketed products.

Leadership:

- Lead an organization of 20+ safety personnel, including multiple medical directors, safety specialists, data specialists and operations staff.
- Position organization and staff for future challenges and long-term success.

Ensure training and mentoring of staff in core competencies.

Lead and mentor Drug Safety medical directors assigned to the safety evaluation for marketed drugs, including the clinical development programs of marketed drugs.

Safety Operations, Surveillance & Signaling:

- **Oversee aggregate reporting and presentation of safety data to regulatory authorities on marketed products, including responses to regulatory questions on safety and risk management.**
- **Ensure timely monthly signaling reports and accurate identification and investigation of safety signals.**
- **Develop, implement, and oversee risk management programs for marketed products.**
- **Oversee safety guidance for product labeling decisions.**
- **Senior member of company's Safety Committee Meeting.**
- **Oversee receipt, processing, and reporting of AEs and SAEs from marketed products and clinical trials of marketed products, analysis of safety data, and the identification and investigation of potential safety signals in pre-market programs.**
- **Ensure accurate and efficient recording and handling of adverse events for marketed Biogen Idec products including decisions on seriousness, expectedness, and, for marketed products in clinical trials, causality.**
- **Provide and oversee medical safety guidance and advice on medical matters in general, and specifically on safety-related issues concerning clinical trials with marketed products, and phase IV trials with marketed products.**

Compliance:

- **Lead the organization in the development and implementation of departmental policies, processes and strategies consistent with regulatory requirements and industry best practices.**

- Ensure the accurate and timely completion and reporting of periodic and expedited safety reports (including PSUR and Risk Management Reports), in compliance with internal timeframes and timeframes dictated by regulatory authorities and safety agreements with corporate partners.
- Ensure timely reports and responses to regulatory authorities regarding selected safety issues.

Essential:

- Previous experience in an executive leadership role.
- In-depth knowledge of pre-and post-marketing US and Canadian regulations as applicable to the role
- Strategic thinking - able to see future challenges, solve complex problems and provide creative solutions.
- Able to lead, plan and manage in a high-pressure, fast-paced and changing environment to ensure objectives are met in a timely manner and achieved within budget allocations.
- Highly effective communication skills – in small or large audiences, in writing and presenting.

Concise, compelling and persuasive.

Able to interact effectively with audiences of multiple disciplines within and outside the company.

- Graduate of recognized, accredited medical school.
- Minimum of 7 - 10 years experience in Drug Safety, and preferably also in Medical Research or Regulatory Affairs in the pharmaceutical/ biopharmaceutical industry including significant management experience.

Preferred:

- Graduate training/experience resulting in board eligibility/certification and/or doctorate in basic/medical science.

- Postgraduate training in clinical epidemiology and biostatistics.
- Specialization and experience in internal medicine, neurology, gastroenterology/hepatology, nephrology, dermatology, intensive care, clinical pharmacology, immunology or oncology is highly desirable

Education Preferred:

- Graduate training/experience resulting in board eligibility/certification and/or doctorate in basic/medical science.
 - Postgraduate training in clinical epidemiology and biostatistics.
 - Specialization and experience in internal medicine, neurology, gastroenterology/hepatology, nephrology, dermatology, intensive care, clinical pharmacology, immunology or oncology is highly desirable.
-

Job Description 21:
Operational Risk - Vice President

Status: Full-Time
 Location: US-NY-New York
 Job Category: Banking
 Education: 4 Year Degree
 Position Category: Risk Management
 Position Title: Operational Risk - Vice President
 Compensation: 6 figure job

The Job

Morgan Stanley’s Firm Operational Risk Department’s Oversight Team is seeking a strong candidate for a position overseeing the Firm’s Supplier Risk Management (SRM) Program.

The Firm Operational Risk Department’s SRM team will:

Serve in an oversight capacity for the Firm’s Supplier Risk Management Program

Ensure adherence to the framework

Provide guidance on exception management and issue escalations

Perform periodic quality and risk management tests designed to help ensure the function is operating as designed

Periodic reporting to Governance Committees and senior management, including a quarterly reporting package

The team sources information directly from the Supplier Risk Management & Monitoring (SRMM) function.

A successful candidate will be expected to convey information in an accurate and timely manner, and to provide thoughtful and comprehensive analysis of information received.

A strong understanding of financial metrics and risk management is required.

The SRM team also serves as the centralized liaison for regulatory or Firm requests regarding the Firm's Supplier Risk Management Program.

To enable a cross-disciplinary view, the SRM team works closely with the Supplier Risk Management & Monitoring function to monitor progress against risk management and mitigation initiatives and identify issues for senior management attention.

This position requires interaction with senior Firm management, and, as such, a successful candidate must have strong communication and interpersonal skills.

The hire will play an integral role in both internal reporting and regulatory responses.

Ability to implement a process and communicate the process effectively to senior management is a key component of this role.

Successful execution will require the ability to partner with and, at times, influence subject matter experts, in order to obtain the necessary information.

Attention to detail and adherence to deadlines is necessary.

Skills Required:

Strong knowledge of risks and internal control and risk-based audit methodologies

Proven analytical and problem solving skills, with the ability to translate high-level analysis into practical solutions

Strong organizational and time management skills.

Able to multi-task, prioritize work, and meet tight deadlines

Strong oral and written communication skills.

Able to express ideas in a clear and concise manner

Strong decision making skills.

Able to work independently with minimal supervision

Strong interpersonal skills

Highly organized, attention to detail

Excellent presentation skills – written, oral and graphic

Results oriented

Skills Desired:

Overall understanding and familiarity with financial services – front office and infrastructure

Awareness of regulatory landscape

CFA or FRM credentials preferred.

Job Description 22:
Lead Business Intelligence Manager

Compensation: \$150K - \$160K / Year
Minimum Education: Bachelors
Job Type: Full Time

The Job

Lead Business Intelligence Manager, overseeing the technology selection, specification of functionality, and implementation of the Arctic/T-Hub warehouse reporting layer.

Job Requirements:

Capital Market domain expertise, strong skills, technical skill in the area of reporting platforms.

Building the data warehouse and then building a data layer above that for the credit risk and margin business, and looking for someone to manage the implementation, so someone solid on the managerial as well as senior-level technologist;

Experience with OLAP, Cognos, Business Objects;

Would like to see domain expertise in risk management and business reporting (said they should be a reporting specialist);

Should have solid BA skills – gathering and integrating feedback

Job Description 23:

Vice President - Market Risk - Portfolio Risk

Status: Full-Time

Location: US-NY-New York

Position Category: Risk Management

Position Title: Vice President - Market Risk - Portfolio Risk

Job Level: Vice President

Education Required: Bachelors Degree

Compensation: 6 figure job

The Job

Morgan Stanley seeks a professional with previous experience in a multi-disciplined risk management function as a Vice President or equivalent in the Market Risk Department (MRD).

The Market Risk Department (MRD) provides **independent market risk oversight across the Firm's trading activities.**

Based in New York, this individual will report to the Head of Portfolio Risk.

The role involves a diverse range of risk management responsibilities and provides exposure across the business units as well with other control groups inside Morgan Stanley.

We seek candidates with a strong desire to learn and the ability to excel in a relatively small multidisciplinary team.

Primary job responsibilities include:

Analyze market risk across all business areas and asset classes

Develop tools to better understand and communicate risk concentrations

Brief senior management on Sales and Trading risks

Engage business-line risk managers to identify and resolve risk issues that impact market risk calculations such as VaR, scenarios and regulatory capital

Coordinate with IT on the development of risk systems and data standards

Represent MRD at cross-functional working groups

Engage in extensive project work

COMMERCIAL/ BUSINESS ORIENTATION

Risk analysis produced by MRD is used to brief senior management needs to be both timely and accurate.

It is therefore essential that candidates are able to demonstrate diligence as well as strong quantitative and investigative skills.

PROFESSIONAL SKILLS

Information processed in the department is highly confidential and therefore the candidate should demonstrate integrity.

The role involves working closely with several other areas (such as Controllers, IT and the Business Unit), therefore the candidate must be able to develop strong working relationships and be capable of communicating clearly, both in writing and verbally.

As the candidate will be working with many different constituents, prior managerial experience would be a plus.

Skills Required FUNCTIONAL KNOWLEDGE

It is essential that the candidate can perform the role effectively and efficiently due to daily deadlines and sensitivity of the numbers produced.

Knowledge of financial products is essential, including an understanding of risk greek representations, although a more in-depth understanding will be developed through job experience.

A solid understanding of risk management concepts such as VaR, stress testing, scenario analysis, and capital is ideal.

Strong proficiency with Excel and VBA is required; a working knowledge of databases and SQL is advantageous; more generally, the candidate needs to be comfortable using many different IT applications.

Skills Required: EDUCATIONAL BACKGROUND

Suitable candidates will have an excellent academic background, including a degree in a quantitative discipline, such as economics, finance, sciences or engineering.

Higher degrees or other qualifications related to any of the above areas will be advantageous.

EXPERIENCE

Qualified candidates should possess experience in either market risk management or a market-risk-related function such as regulatory controllers, product controllers, or risk consulting.

Additionally, because nearly all projects require cross-group coordination and cooperation candidates would benefit from prior project management experience

Job Description 24:
Director/VP Credit Risk Management

Job Type: Full Time
Location: Massachusetts-Boston
Compensation: 6 figure job

The Job

An experienced statistician / mathematician to work in the Credit Risk Management Department.

The successful candidate will be expected to translate knowledge of financial theory or sophisticated advanced mathematical or statistical analytics that will deliver business results.

This person must be adept at converting data into actionable knowledge to support new approaches to risk management and to achieve significant improvements to loss prevention, loan growth and profitability optimization.

Your experience in the credit card, banking, mortgage or auto loan industries will be valuable in this position.

- * Develop **predictive models** using regression analysis and data mining techniques to predict the risk of default, prevent fraud and improve business strategies
- * Use **quantitative methods to analyze data. Detect and fix data problems and ensure data integrity**
- * Evaluate and monitor quality of the models and their impact on business profitability. Assist in implementation of statistical models in production environment
- * **Design, plan, and coordinate analytical work. Execute projects, track progress and document and present results**
- * Mentor and/or manage junior modelers, programmers or analysts

* Manage projects within the Risk Management group and other departments related to the project, including IT, Capital Markets and Product Development groups

Skills/Requirements

* MS or PhD degree in Mathematics, Statistics, Economics or related field and 5+ years of experience are required

* Experience managing projects and small teams

* Previous credit risk experience in financial services industry

* Predictive modeling expertise, extensive knowledge of statistical techniques such as linear regression, hypothesis testing and champion and challenger design of experiments is required

* Experience in developing fraud, behavioral or credit risk scoring models.

* Programming experience in SAS/Base, SAS/Stat, and SAS/Macro and knowledge of relational databases is required. Computer programming background and awareness of common programming principles is very important

* Experience in consumer lending, credit cards, or financial industries

Job Description 25:

Actuarial: Managing Director, Reinsurance Structuring

Minimum Education: Bachelors

Job Type: Full Time

Position: Actuarial: Managing Director, Reinsurance Structuring

Location: North Carolina

Compensation: 6 figure job

The Job

- This role will work closely with a **modeling actuary who will perform the associated advanced risk modeling required.**
- The position will also support the managing director responsible for working directly with business affiliates to provide reinsurance expertise and retention analysis.
- In new markets this support may also involve more in depth review with respect to their reinsurance programs.
- For Group Cession executed life transactions - support team maximize value added through superior assessment of risk and structuring.
- Own, develop, and maintain pricing philosophy for transactions.
- Ensure pricing and structuring properly assesses risk.
- Evaluate cost benefit of risk tradeoff and capital relief
- Given a identified transaction, establish a plan and timeline to deliver on.
- Ensure **new business pricing and modeling is consistent with existing practice and procedures.**
- Ensure transaction control process with SOX and risk management requirements.

- Develop pricing reports documenting solutions and critical attributes of evaluation.
- Evaluation, critical drivers of pricing and overall structure to a pricing/risk and/or senior management for approval.
- Consult with affiliates to provide life reinsurance structuring feedback and retention analysis.
- Review pricing reports from new markets / new products to evaluate the appropriateness of assumptions and risk structure and reinsurance programs.
- Monitor financial results of transactions and affiliate reinsurance transactions.
- Actively participate and lead select research and development efforts to support our pricing methodology and assumption base (eg. develop understanding of different regulatory environments and arbitrage solutions).
- Collaborate with reinsurance pricing and capital markets teams to continually improve pricing processes and structures.
- Assist on other corporate projects/requirements as necessary (eg; budget projections/financial reporting).
- Other duties as required for the position.

QUALIFICATIONS:

- Professional Credentials and Experience: FCAS / FSA, 10+ years of industry or related experience.
- Extensive reinsurance, casualty or life insurance experience required.
- Demonstrated ability and enthusiasm to master new skills and concepts quickly.
- Demonstrated effectiveness in working with senior management.

- Demonstrated critical thinking ability.
 - Strong analytical skills with demonstrated problem solving ability.
 - Ability to quickly move from granular deals to broader business strategies.
 - Bachelor's Degree in Actuarial, Finance or Accounting or equivalent of education & experience.
 - Excellent verbal & written skills.
 - Highly motivated/self-starter.
 - Must be able to work effectively independently & as part of a team.
 - Proficiency in windows-based software (Excel, Access, etc.).
 - Regular attendance and ability to work extended hours as necessary to meet project deadlines.
 - Ability to travel overseas (occasional) as needed.
-

Job Description 26:

Director, International Enterprise Risk Management

Position Type: Full Time

Regular Country: United States

State: North Carolina

City: Raleigh

Compensation: 6 figure job

The Job

This position will report to the CRO, International and US Mortgage Insurance, and will assist the CRO in overseeing credit, market, product and operational risks.

The International ERM Director will be responsible for maintaining a strong system of risk governance working with risk managers in the U.S., Canada, Australia, Europe and Mexico.

Specifically, this role will be responsible for:

- . Working with the CRO and country risk managers to set risk appetites and concentration limits by product and geographic region
- . Maintaining delegation of authority levels
- . Preparing **analysis and presentation materials for review with the Corporate Risk Committee**
- . Developing ERM metrics to enable better analysis and decisions regarding capital allocation, product performance and profitability, and earnings and capital at risk
- . **Risk oversight of underwriting quality through review of audit results and ensuring corrective actions are taken on a timely basis**
- . Providing input to loss mitigation strategies and monitoring performance and key metrics
- . Facilitating training and sharing best practices across platforms

REQUIRED

- . Undergraduate degree in economics, business, finance, mathematics or statistics/actuarial sciences
- . Minimum (10+ years) insurance/financial services experience with relevant risk management and credit underwriting experience
- . Proven leadership and ability to drive change . Excellent oral and written communications skills
- . Ability to influence across reporting lines
- . Ability to work outside standard business hours in order to accommodate international time zones and work requirements

PREFERRED

- . **Advanced degree or technical certification**
 - . Capital markets experience
 - . Experience with economic drivers of profitability, and investment management
-

Job Description 27:
Risk Management - (US-Confidential)

Job Type: Full Time
Compensation: 6 figure job

The Job

Our client is a fast growing energy located in the Central United States. The atmosphere is informal with a strong emphasis on **personal accountability and self-directed, entrepreneurial orientation.**

Initiative, intellectual acumen and a sense of humor are highly valued.

With the fast growth and expansion the is experiencing, the executive leadership team is looking for talent to **enhance the risk management function**

The Vice President of Risk Management reports to the CFO, and will manage an existing team of four reports.

This individual will identify the framework and build the approach for the 's risk management strategy.

MAJOR RESPONSIBILITIES

- Responsible for developing price quotes, pricing structures, and pricing models to support the sales force.
- Maintain integration with other parts of the including marketing, billing, and **information systems.**
- Determine product structure and prices quoted to retail customers, ensuring that the quotes conform with supply costs and other established pricing policies.
- Generate, present, defend and maintain demand-based forecasting activities for entire regions and multiple markets with a high degree of precision and insight as to the drivers of each forecast.

- Manage all load-scheduling processes based on precise forecasting methodologies.
- Audit and monitor the integrity of data output by forecasting software, particularly as it pertains to customer interfaces such as the Predictive Billing Service.

Make for product or process enhancements.

- Develop pricing strategies for marketing campaigns, quotes for large accounts or aggregated groups, and new pricing structures driven by customer feedback or market research analysis.
- Monitor supply costs and adjust prices accordingly.
- Generate score cards that track forecast levels vs. actual performance and conduct analysis leading to corrections and adjustments to future forecasts, as needed.

EXPERIENCE

- Minimum 7-10 years overall industry experience with 2-3 years experience in a similar job function.
- Demonstrated success in senior-level, regional forecasting and supply.
- Excellent leadership skills in supervising and developing junior to senior forecasting analysts and support staff as direct reports.
- Demonstrated exemplary written and verbal skills with the ability to clearly present strategic issues to upper management.
- Working knowledge of business management, basic accounting principles, accounting programs, spreadsheets and applications.
- Strong knowledge/exposure to IT systems and development balanced with thorough understanding of energy demand forecasting and loads.
- Thorough understanding of energy demand forecasting variables and their impacts, such as historical data, weather, etc.

- Proven success in critical and analytical thinking and decision making.
- Extensive experience in strategic business planning and execution.
- Evidence of successfully working in a “team” environment.
- Demonstrated knowledge of MS Office applications.

EDUCATION

Bachelors Degree required,

Masters Degree in business, finance, mathematics, or economics would be a plus.

Job Description 28: Chief Risk Officer

Compensation: 6 figure job
Type: Full Time
Regular Country: United States
State: Virginia
City: Richmond

The Job

In partnership with the Group/Affinity product business leadership team, ensure Genworth Financials Group insurance strategic vision is developed with consideration for the risks involved.

. Proactively manage to the risk reward equation and lead a team of Risk professionals in the identification, quantification, and mitigation of risks for the Group Product Businesses

. Engage product leadership to avoid or mitigate financial, legal, regulatory or reputational risks

- . Ensure Group product risk management framework is **institutionalized and vibrant** within all Group enabling functions and internal controllership functions, structures, and processes.
 - . **Develop appropriate risk management routines to assess Group business opportunities** within approved delegated authority thresholds, involving broader Segment/Corporate resources as needed
 - . Prepare and present In Force Reviews to GNW/R&P Risk forums
 - . Prepare and chair a robust risk review for the Group product portfolio
 - . Partner with the Group product leader to set the appropriate priority and tone for utilization of risk disciplines and management of risks
 - . Implement GNW/R&P risk strategies and required reporting within the Group product portfolio
 - . Identify, lead, and/or support the development of appropriate Reinsurance Strategies
-

Job Description 29:

Compliance Manager - Wealth Management & Private Banking

Compensation: 6 figure job

Employer: Global Investment Bank

The Job

Senior Compliance Manager sought for Global Investment Bank's Wealth Management division.

Our client is one of the **World's largest Wealth Managers with trillions of dollars in funds under management.**

They have a full product offering covering direct equities, fixed income and hybrid investments, brokerage services, managed funds, (including hedge funds), structured products and derivatives, lending and credit

products, access to IPOs and primary placements and cash management.

Your role will be to ensure regulatory compliance as well as to oversee and mentor junior staff members.

Ideally you will have **7 years plus compliance experience** within a similar type role with a broad product offering.

The ability to engage the business and manage junior staff members will be of vital importance.

We can offer the right person an exciting and challenging role within a truly global organisation which supports career growth and development across different technical areas as well as in other locations.

Job Description 30: **Senior Manager - Market Risk**

International Investment Bank
Location: Sydney
Strong Six Figure Salary Package

The Job

Our client, a high profile international Investment Bank is seeking a **Senior Manager to join their team in Market Risk.**

The role will see the successful individual responsible for the independent and pro-active on-site **monitoring, evaluation and reporting of all material market risks arising out of the activities** of both the Fixed Income and Currencies Derivatives Desk.

In addition, you will work closely with the senior management and trading room teams to ensure that all reporting and measurement of risks are met as per company guidelines.

You will possess relevant tertiary qualifications and strong practical experience within capital markets, be confident in your knowledge of

market risk and be comfortable building relationships at the senior management level.

Additionally, you must possess sound written and verbal communication skills and be well versed in the development of a network of senior front office and support staff relationships in trading and corporate investment banking groups.

This is an opportunity offering outstanding experience, breadth of exposure to the markets; high bonus potential and career prospects globally as well as locally.

Job Description 31: **Compliance Manager - Funds and Fixed Income**

Compensation: \$150K - \$170K + Bonus
Senior Compliance Role
Location: Sydney

The Job

Our client, a global funds management organisation is looking for an **experienced Compliance Manager** to take responsibility for the compliance monitoring and advisory function as well as to head up a small team of compliance professionals.

The Australian based compliance team is the hub for global compliance with overall responsibility for multiple jurisdictions and billions of dollars of funds under management.

Reporting to the Head of Compliance, this role will largely consist of liaising with the different business units across the group including Treasury, Fixed Income and Equities.

Preparing board papers, drafting compliance policies, staff training as well as managing and mentoring a small team of compliance analysts will be key parts of this role.

The ideal candidate will have a minimum of 7+ years experience within the funds management industry with exposure to working with fixed income, equities and other structured products.

Knowledge and experience working with investment management guidelines as well as the willingness to take responsibility for the monitoring and compliance advisory functions will be essential in this role.

Job Description 32: **Chief Operating Officer**

Compensation: 6 figure job,
Key Leadership Role
Location: Sydney

This recognised leader is **committed to achieving ambitious financial targets and continuing business improvement.**

This newly created Chief Operating Officer role will join a mature business and a strong leadership team.

We seek an outstanding Services Operations professional; with extensive exposure to contact centre based operations, and excellent commercial aptitude.

This role will be **responsible for implementing a strategic plan to deliver operational excellence, drive sales opportunities and delivery superior customer service.**

A strong focus will include building strategies for best practice in the contact centres.

Specifically this will include:

- Leading a Trans Tasman team
- Delivering operational excellence in contact centre operations

- Setting strategic business initiatives
- Aligning Operations and new business development service delivery

You will influence the business against clearly measurable objectives.

Your background must demonstrate **in-depth understanding of contact centre technology and systems, proven leadership and management experience, coaching, leading and managing a high performing contact centre team.**

Above all, achieving objectives and contributing to business growth as a key member of the leadership team.

Job Description 33: **Head of International MIS**

Location: Doha Qatar
Compensation: \$150,000

The Job

A leading bank in Qatar is looking for a Head of International MIS.

The incumbent will assume prime responsibility for ensuring that the MIS needs of the Group's international units, branches, subsidiaries and associates are identified and met on a timely manner.

Assist the Head of MIS to create long-term financial strategies to maximize shareholder value whilst pursuing prudent policies.

Provide inputs in developing/ creating the **Key Performance Indicators (KPIs), for performance monitoring and quality measurement purposes pertaining to personnel responsible for International MIS and monitor their achievements on periodic basis.**

Ensure that relevant MIS reports are prepared and presented to the management/the Board on time to enable them to monitor business performance closely and taking timely corrective actions.

Provide timely and accurate information to the Head of MIS pertaining to all associates, subsidiaries and overseas branches & representation offices.

Build and maintain strong and effective relationship with all other related departments and units to achieve the Group's goals/ objectives.

Education/Experience Requirements:

Bachelor/Masters Degree preferably in finance, accountancy or related subjects.

Professional certification such as CPA, CA, CMA, CFA etc. is a plus. Extensive experience in a major bank of which substantial time should be within a managerial capacity in the MIS function.

Job Description 34: Head of Card Risk

Location: Abu Dhabi United Arab Emirates
Compensation: £100,000+ per annum

The Job

The incumbent will be primarily responsible for **managing/ monitoring the fraud risk with respect to the Group's card business with the objective of minimizing credit card/ ATM card losses by taking timely proactive and remedial actions.**

Primarily has the responsibility for utilizing PRM to monitor for suspicious/unusual customer and merchant activity to minimize fraud losses perpetrated by and against customers and merchants.

Analyze credit and debit card usage trends (by merchant, country, product, etc) to identify, monitor and manage the fraud risk of the Group's card business and take the necessary corrective/ remedial action on timely basis to prevent card fraud losses.

Liaise with Cardholders to **confirm suspected card fraud** and take the necessary actions to replace the card and reimburse the cardholder in a timely manner.

Liaise with the personnel in the Centralized Operational Risk function to report information on incurred losses with respect to credit cards/ ATM cards and as required, the proposed actions to close the incident and prevent re-occurrence.

Liaise with Group IT on issues pertaining to enhancements in the systems relating to credit cards/ ATM cards (including the related card monitoring tools) to enable better management of the risk involved in credit/ ATM cards usage.

Build and maintain strong and effective relationship with the all other related units to achieve the Group's goals/ objectives.

Communicate with VISA, MasterCard, American Express and the various merchants as and when required.

Qualifications:

Bachelor's degree.

Extensive experience related to card fraud business in a major banking institution or regulatory agency out of which proven experience should be in a managerial/ supervisory role.

Outstanding problem solving and decision making skills

Job Description 35:
Senior Business Analyst

Salary: £500-550 Per Day
Job Type: Temporary / Contract
Sector: Investment Banking
Location: Greater London/Central London

The Job

An urgent requirement exists to work on a Global Data Management programme for a leading investment bank as a Senior Business Analyst.

Your tasks and responsibilities will include reviewing the current positions of data across the business, determine and assess the issues in the current environment (eg. business issues, state of the current data, ability of the current processes to maintain the data) and put together recommendations for improvements.

Your background must include capital markets experience, reference data expertise and specific understanding of hierarchies (book, legal, product).

In addition, you will have strong BA skills and excellent communication /relationship building skills in order to liaise with both senior stakeholders and subject matter experts.

Job Description 36:
Regulatory Reporting Manager

Salary: £400-450 Per Hour
Job Type: Temporary / Contract
Sector: Investment Banking
Location: Greater London/Central London

The Job

A leading City based Investment Bank is looking for **several Regulatory Reporting Managers to join their Capital reporting team.**

Your main responsibilities will entail,

§ Report directly to the Head of Production on regulatory reporting and production matters

§ Deliver **high quality regulatory reporting** MI and analysis on a timely and accurate basis, which meets the customer's needs

§ Provide **ad-hoc analysis and challenge as required to support management in RWA** and other regulatory related decisions

§ Push through change within the team and ensure improvements are completed on a timely basis

§ Identification and development of options to address issues relating to change and process improvement

§ Ability to work autonomously with minimum guidance from management

§ Provide guidance to junior members of the team where required

§ Build a strong continuous improvement culture within the team

This is a great role that is looking for strong candidates from a Basel II/RWA background that has several years of experience in a similar role.

This will be for an initial 6 months with the potential to extend thereafter.

Job Description 37:
Business Analyst - Basel II

Salary: 400-450 Per Day
Job Type: Temporary / Contract
Sector: Banking
Location: Greater London/Central London

The Job

A leading UK bank is in urgent need of an accomplished Business Analyst to join a large finance change programme.

You must have a strong background in Banking and have previous experience of working on a Basel II regulatory programme.

You will be a qualified accountant with an excellent pedigree in the financial services industry and will have worked on finance projects.

You will be responsible for providing expert business analysis in support of designated initiatives in relation to the Finance Operating Model and systems change improvements.

Duties will include but will not be limited to - gaining an understanding of business drivers and applying appropriate challenge to stated requirements, communication of issues and solutions with stakeholders and subject matter experts, selection and recommendation of preferred solution options and the provision of training to relevant staff where new business processes are being implemented.

The role is for an initial period of 6 months and is based in the City.

Job Description 38:

Insolvency/Corporate Recovery Director

Salary: £81000-100000 Per Annum

Job Type: Permanent

Location: UK

The Job

A corporate recovery/insolvency director is required for one of the Thames Valleys most well respected professional services firms.

Coming in as a director you will be expected to hold the JIEB qualification and have the ability to go out and business develop from day 1.

The position is based in the historic City of Oxford which offers a much better work/life balance then the rat race of London city working, a relocation package is not offered as they are looking for someone relatively local to the area who can appreciate the opportunity on offer.

The position has come about as **one of the partners in the firm is looking to retire over the next couple of years and new blood is required to drive the business forward.**

You will be able to take over this partner's current client portfolio, but what they don't want is someone with the laid back mindset.

To continue the grown of the firm, they are looking for a driven go-getting individual that has at least 5 years worth of insolvency experience.

If you are at least a Senior Manager at the moment and would realistically like to be a partner in the next 12 months, then please get in contact as soon as possible.

Job Description 39:
Regulatory/Capital Reporting Analyst

Salary: 450-500 Per Hour
Job Type: Temporary / Contract
Sector: Investment Banking
Location: Greater London/Central London

The Job

A top tier investment bank is recruiting for an experienced regulatory/capital reporting analyst to join their regulatory reporting team.

The candidate will engage in extensive work concerning Basel II and the implementation of new requirements in addition to **completing FSA returns and working with RWA.**

The area is an exciting world to be in at present with all the change taking place and the team are keen to get motivated people on board.

The ideal candidate will:

Be a fully qualified accountant

Have extensive experience working with Basel II

Have worked with RWA

Have a good understanding of capital adequacy

Have a background of completing FSA returns

Job Description 40:
Corporate Banking Business Analyst

Salary: £450-500 Per Day
Job Type: Temporary / Contract
Location: Greater London/Central London

The Job

The Corporate Banking division of a **leading Global Investment Bank** are looking to take on an experienced Business Analyst

The Finance Change Management Team is responsible for providing expert business analysis in support of designated initiatives in relation to the Finance Operating Model and systems change improvements. Specific responsibilities of the Senior Business Analyst role include:

Carrying out full analysis of the finance change projects within the Corporate division, including requirements gathering, the writing of functional specifications, process mapping and also user acceptance testing.

The successful candidate will also be required to deputise for the Project Manager throughout the life of the assignment and documentation of analysis, options, recommendations, key decisions, risks and issues as agreed with the Project Manager.

Previous Required Experience

- It is preferred that the successful candidate will be a qualified accountant or equivalent with previous experience within corporate or retail banking

Experience in a BA capacity on Finance Operating Model and/or Finance Systems projects

- Process mapping and re-engineering experience

- A thorough understanding of the end-to-end Finance Operating Model including Financial Control, statutory, regulatory and MI reporting requirements

Further beneficial Experience

- Risk management experience, in particular, credit risk
 - Experience of working with risk systems, in particular, in a change environment
 - **Knowledge of Basel II methodologies**
-

Job Description 41: **Credit Products Business Analyst**

Salary: 400-600 Per Day
Job Type: Temporary / Contract
Sector: Investment Banking
Location: London

The Job

A large and well known Investment bank is currently looking to recruit a Credit Products Business Analyst to work on one of their latest projects.

The successful candidate will be responsible for front to back Credit projects for the Finance Change department.

The successful candidate will be responsible for delivering all finance related aspects of Front to Back projects relating to Credit Products.

The role will be working **throughout the full project life cycle, whilst liaising with key stakeholders and working closely with the IT function without being involved in the actually programming.**

The successful candidate will be a qualified accountant (or equivalent) with experience in products or financial control.

The candidate will need to have managed the full project life cycle within Credit Products and have worked on systems related projects within an investment banking environment.

Job Description 42:
IT Audit Risk Specialist

Salary: £90,000 Per Annum
Job Type: Permanent
Sector: Insurance
Location: London

The Job

A leading UK Financial Services Organisation is looking for an experienced IT Auditor to join their core analytical team.

This is a varied and challenging role which represents an excellent opportunity for a candidate to develop their skills in the cutting edge of insurance risk and control.

The nature of the work will be broad including contributing to thematic work on operational risk management through to providing thought leadership on Solvency 2 issues within data management.

As such you will generally be putting all your knowledge and experience into a role that will offer considerable new challenges at the cutting edge of banking regulation and control.

Ultimately you will be in a role at the forefront of the insurance industry's understanding of capital modelling and risk understanding.

Applications are sought from well educated individuals with recent experience of IT Audit within the insurance industry.

Broad and deep experience in IT audit, either as a senior auditor in a large, regulated firm, or as an external IT auditor in the professional services industry is essential.

As such you will be a CISA or QICA qualified IT auditor.

Personality will be crucial to your success and we need people who are analytical minded, positive problem solvers.

You must be able to work independently in a highly business facing role that requires frequent interaction with front, middle and back office.

This is an exceptional opportunity for a highly motivated individual to catapult their career to the forefront of insurance risk and control.

Thus this position will accelerate your career, and remuneration, within the wider insurance sector.

Job Description 43:
EMEA VAT Compliance Manager

Salary: £81000-100000 Per Annum

Job Type: Permanent

Sector: Tax and Treasury

Location: Dublin

The Job

Our client is a well known multinational seeking to appoint an EMEA VAT Compliance Manager to their European HQ based in Dublin.

Working with the local Commercial Manager and VAT Director, this is a role suited to someone with a long term focus.

With Initial responsibility for the team of three the immediate requirements involve implementing the new VAT structures and procedures and training the team where required and recruiting new staff.

The second aspect of this role is to develop the VAT capabilities of the team into a European VAT Shared Services Team encompassing the other European jurisdictions in which they have operations.

As the EMEA VAT Compliance Manager, part of this role would be travelling to these regions to sell in this service to local managers with the assistance of local Commercial Directors.

Specifically:

§ Manage the VAT operations team for EMEA region

§ Manage the VAT compliance process

§ Manage VAT customer service relationships (internal & external)

Individual requirements:

§ 5+ years VAT operations experience within a multinational environment

§ Proven people management skills essential

§ Solid working knowledge of the EU VAT directive and VAT compliance

§ Solid IT system skills with knowledge of an ERP system (Oracle/SAP)

§ Ability to manage customer relationships in an international matrix organization working closely with Corporate Tax team

§ Fluency (oral and written) in English is a must to be successful in the role

§ Solid communication skills ability to manage internal and external customer relationships

§ Additional European language/s would be an advantage

§ Willingness to travel for business purposes, within EU

This is a full time permanent position and they seek a professional with strong technical knowledge and commercial acumen to build and lead the VAT team.

The successful candidate will receive a generous salary package that will include many benefits.

Job Description 44:
Principal Advisors – CIO Advisory

Location: London

Salary: £110k plus bonus and benefits

The Job

Leadership roles in the consulting business within a major Systems Integrator firm, building practice to provide **IT Strategy advisory service to CIO's in major name clients.**

This is a major Systems Integrator firm, building practice to provide IT Strategy advisory service to CIO's in major name clients.

These are principal leader roles/ leadership group in the practice and will involve operating alone or leads a consulting Team in engagements for Strategic accounts and new logo customers, and acts as an Engagement Lead into the client, operating at board level: **80% of work with existing clients; 20% with new clients.**

The role will involve grasping the business vision of the client and translate that into innovative 'win-win' IT solutions, thereby positioning themselves as the client's 'Trusted Advisor'.

Defines the IS strategies and solutions, and the benefits these deliver.

Works with clients colleagues to create the high level resources and timing plans, and approaches to change and risk management.

Presents the solution to senior client executives in formal presentations, question and answer sessions and on reference visits.

Oversees the delivery, promoting the client's strategy in line with business goals and service offerings.

The CIO Advisor will be someone passionate about designing and delivering large scale IS strategies that have a profound positive effect on their clients' business and operations.

Naturally forward looking and innovative, candidates will have lots of practical experience (of failure as well as success) and have technical aptitude.

The role does not carry a formalised sales target – but candidates will be expected to sell consulting services.

Please check you meet the following mandatory requirements before applying:

Senior level IT Strategy advisory consultant with a major consulting firm

Experience in selling and leading strategic level IT consulting assignments with big name clients

Alternatively in a line IT management role at a strategic level in a major corporate with a management consulting background earlier in career

Job Description 45:

Director, International Enterprise Risk Management

Location: UK, Nationwide

Salary: £90-120K + Bonus + Benefits

The Job

Advise on, shape and develop **BI & Data Warehousing Solutions** for large scale and highly complex IT enterprises.

In recent years, this leading internationally recognised **consulting and IT Services** firm has gone from strength to strength, outstripping competitors to win major contracts & engage in some of the most critical transformation programmes in the UK.

With an ongoing commitment to developing their capability, advancing their expertise & establishing new propositions to take to market, they seek to hire a knowledgeable and motivated BI specialist to expertise within this domain.

Acting as trusted advisor to a blue chip client base of public and private sector organisations, this position will be given the autonomy and support to develop strategic BI and/or Data warehousing solutions for some of the most complex transformation programmes in the UK.

You must be a credible and highly regarded professional with strong leadership credentials and the ability to inspire and motivate a growing team.

Please check that you meet the following mandatory requirements before applying:

Experience of defining and developing Business Intelligence or Data warehousing solutions operating at CIO level

Full life cycle consulting track record across business development, bid management, business case development, deal shaping, solutions development and programme delivery

Current or previous large scale (>£10m) programme management background

Broad industry sector experience, ideally Government, Defence, Utilities, or Logistics

Familiar with some for the following: ERP (SAP/Oracle), SAS, Business Objects, Hyperion, Abinitio, Terradata, EPM

Senior Manager/Director level experience within a reputable management consulting firm

Full mobility across UK

Job Description 46:
Consulting Partner: IT Strategy

Location: London
Salary: £200k-£250k

The Job

Key leadership role in high growth UK practice of international consulting firm

An exclusive opportunity to mould and shape the development of a start-up business unit within the established and successful high growth UK practice of a top calibre, European consultancy firm!

This medium sized firm, **led by ex Big 4 consultants**, offers a high-achieving environment in which your contribution will truly be recognised, allowing you to propel your career in an environment free from formalised and inflexible structures.

Reporting to the Managing Partner, the role will be accountable for defining and developing this capability, shaping the offering, selling consultancy engagements and building the pipeline and overseeing top quality consultancy delivery, with engagements spanning:

IT/IS Strategy
IT Transformation
IT Cost Effectiveness
CIO Agenda

This business unit will be accountable for devising the appropriate IT Strategy to complement the business strategies of client organisations.

Whilst this is not a deeply hands-on, technical role, a good understanding of infrastructure, applications, and IT architecture will be necessary.

Please check you meet the following mandatory requirements before applying:

Deep experience of IT Strategy Consultancy/Advisory projects

Personal Business Development/Sales track record

Experience in practice development and leadership

Recently employed at Director or Partner level within a mainstream

Management Consultancy/IT Services firm

Job Description 47:

Director/Partner Investment Banking

Location: London

Salary: £150k-£200k

The Job

Exceptional opportunity to **lead, grow and shape a Banking consulting practice** within the high growth UK operation of a major international firm.

An exciting and entrepreneurial opportunity for a Investment Banking /capital markets specialist to pursue career growth with the high growth UK practice of an international consultancy business.

With strong revenue performance and growth in 2009, this highly regarded firm is committed to continued development in 2010 by enhancing existing strengths and establishing new capabilities.

With an established capability in Banking they now seek a Practice leader to further develop the capability, reporting to the UK Managing Partner

With an intricate understanding of Investment Banking products, services and operations, this position will credibly engage at senior levels within major Banking firms, participating in all aspects of practice development: establishing propositions to take to market, developing the

sales pipeline through relationship development and leading bids, managing programmes and building a team.

This opportunity would be well suited and highly rewarding to someone seeking a flexible and entrepreneurial environment, with scope to influence and develop a capability and a team and mould a career path.

Please check you meet the following mandatory requirements before applying:

Deep banking sector expertise, covering a breadth of products, services and operations

Strong knowledge of at least one major banking group

Whilst a black book is not essential, you will engage with senior banking executives with credibility and gravitas and be comfortable in driving sales efforts

A flexible and entrepreneurial approach

You will have recently operated at Director or Partner level within an established Consulting Organisation

Although roles are City based, you will have full UK mobility and be able to commit to occasional European and international travel.

Job Description 48:
Principal Consultant – Telecom

Employer: Growing Tier 1 IT Services Group

Location: London, South East

Salary: £90-120K + Bonus + Benefits

The Job

High growth consulting business, part of Top 3 offshoring firm, seeks experienced telecomms consultants for strategic client facing roles.

Experienced, strategic/business facing consultants required to join the successful management consulting arm of this major international IT Services and Outsourcing/Offshoring group.

Historically, our client has been a very high growth business and 2010 will be no exception with significant investment in the growth of its consulting arm

They have a particular requirement to further develop their established Consulting capability and wish to recruit telecomms consultants to play lead roles in this area.

The responsibilities in these senior roles will include building and developing the sector including proposition development, managing & delivering consulting engagements, and managing/influencing senior level stakeholders internally and externally.

Successful candidates must have demonstrable experience across all of the following:

- Significant recent experience in a management consultancy firm or practice
 - Significant depth in the telecoms sector.
 - Business consulting track record with particular experience in process improvement initiatives, business transformation, strategic level IT consulting, operational strategy/business improvement, IT outsourcing and on/offshoring issues.
 - Stakeholder relationship management (Build & sustain at C Level).
-

Job Description 49:
IT Service Management Consultant

Employer: IT Services, Offshoring & Consulting

Location: London

Salary: £70-85k + bonus and benefits

The Job

Top 3 offshoring firm seeks experienced **IT service management consultants to deliver service improvement and grow consulting practice.**

This major international IT Services and Outsourcing/Offshoring group seeks experienced IT Service Management Consultants to join its successful consulting arm.

Having invested heavily in its management consulting offering, this globally recognised firm has ambitious growth plans for the year ahead.

The successful candidate will become a senior member of the IT Service management consulting team responsible for working with clients across a range of verticals, defining and improving Service Strategy, Service Design and Service Operation activities.

This will involve managing continual service improvement initiatives to clients, and provide services to implement ITIL.

Assignments will involve leading a team of 2-4 consultants or independent delivery.

The role will also have responsibility for meeting consulting revenue targets within a client accounts, as well as contributing to the growth of the consulting business.

This will be achieved through taking an active role in pre sales support for large-scale bids, and through contributing to thought leadership on service management products and models.

Please check you meet the following mandatory requirements before applying:

- Extensive management consultancy experience and will have held a senior role in IT Service Management
 - Expertise in IT Service Management and In-depth knowledge of related models and frameworks such as ITIL V3 and CMMI
 - Pre-sales and full lifecycle process consulting experience
-

Job Description 50:
Solution Director – Systems Integration

Location: UK, Nationwide
Salary: To £130k plus bonus + benefits

The Job

This role is with a global IT Systems Integration organisation with deep footprint across a range of verticals, and is responsible for designing and delivering large scale IT enabled business transformation projects and selling complete solution to clients at board level.

The role will involve creating and implementing a solution vision including business, applications and infrastructure for the client, providing a framework for technology design and development.

This involves leading the solution team in major bids and for strategic accounts, ensuring the development of a winning solution;

- selling the business and technical solution to clients at Board level;
- then to act as a trusted advisor on the application of technology to the client board after contract.

Deals will be in the \$20-\$200m range.

Candidates will have a Systems Integrator or consulting background and had personal responsibility for the successful delivery of large scale consulting and systems integration projects, from visioning to delivery;

plus strong client management skills with the ability to lead the initial phase from visioning to the point of delivery in large scale programmes.

In addition, strong experience of defining and leading major consulting projects throughout entire lifecycles; demonstrable track record of delivering large-scale projects to budget and client satisfaction.

Please check you meet the following mandatory requirements before applying:

Solution design – create solution for large scale IT systems integration

Deep experience in **delivery of large scale IT programmes**

Sales/ BD – had experience of selling large scale IT integration projects in a SI or consulting environment

Job Description 51:
Executive Director: Structured Finance

Employer: Leading European Bank
Location: London
Salary: £120k - £130k + Benefits Package + Bonus

The Job

This is an exceptional opportunity for an investment banking professional with experience of structuring and executing a wide range of asset classes to join a leading European Bank in a senior role that will influence the banks structured finance and securitisation strategy at the highest level.

The role will require you to maintain close contact with the external markets, both directly and through **regular liaison with other external-facing teams, identifying market trends, developments, and opportunities and facilitating a range of asset distribution and risk transfer solutions.**

Key Responsibilities will include:

- Developing and maintaining close contact with external market counterparties and internal market-facing teams
- Maintaining a close understanding of market transactions, peer group market activity and investor behaviour, trends and preferences to facilitate a step change in the transferability of assets originated
- Establishing and maintaining an external profile for the Portfolio Management team
- Maintaining effective relationships with Regulators, and external/internal Auditors to preserve the standing and image of the Bank
- Full adherence to regulatory and compliance frameworks
- Maintaining proactive and effective relationships with relevant internal teams

This is a senior role requiring an in depth capital markets knowledge, excellent relationship and communication skills and a pragmatic, solution-focused approach.

As such the successful candidate will ideally have a demonstrable track record originating, structuring and executing structured finance transactions and identifying and understanding market trends and investor behaviour.

The ideal candidate will have an a deep understanding of credit risk management from initial assessment and underwriting to impairment, as well as knowledge of statutory, regulatory and compliance requirements.

You will also have demonstrable relationship building and management skills and you will have a proven ability to develop and implement operational plans and objectives.

Job Description 52:
Risk Manager

Compensation: 6 figure job
Country: Kingdom of Bahrain
Sector: Banking

The Job

Our client, a Middle East based Investment company is looking for a Risk Manager to join its team in Bahrain.

Responsibilities:

- Monitor the remediation of risks identified by the auditors.
- Advise on control and mitigation strategies for identified risks.
- Co-ordinate the audits of the external as well as internal auditors.
- Assess risks in portfolio companies, and for potential investments.
- Liaise with advisers to develop and oversee risk models and related tools.

Requirements:

- University degree in Accounting or finance, ideally CFA/ACCA.
 - At least 5 years of similar experience in an investment institution in the GCC.
-

Job Description 53:
Corporate Credit Analyst

Compensation: 6 figure job
Location: Dubai

The Job

Our client, a leading investment bank is looking to hire a **Corporate Credit Analyst to be based in their Dubai office.**

The ideal candidate will currently be located in Dubai or have recently worked in that region.

Main responsibilities will include:

Credit analysis, preparation, presentation and recommendation of credit proposals to relevant sanctioning individuals or committees.

The role also involves ongoing monitoring and review of counterparty credit risk.

Assess and **understand complex transactions and documentation** and make recommendation of proposals including **necessary risk mitigants.**

Working closely with the line manager you will assume the responsibility for credit analysis of a portfolio of Emerging Markets clients with focus on both Corporates and Financial Institutions and recommending the level of exposure for these clients within acceptable risk parameters.

Prepare annual reviews of clients, industries and countries as well as credit proposals.

Keep up to date with the local laws within assigned legal jurisdictions. At later stages, once established within the organisation, the job holder will be able to sanction some proposals using delegated discretions.

Experience/skills required:

Degree level.

Solid Credit Analysis/Risk experience from a recognised financial institution with knowledge of corporate and financial institution analysis, derivative products and legal documentation.

Knowledge of the Middle East / Emerging Markets is essential.

The role will require strong communication skills to liaise with the internal front office deal teams.

Motivated, committed and team player.

Fluency in English is essential.

Ability to work in a deadline focused role.

Job Description 54:

Information Security Risk Manager- Third Party Security Risk Governance

Employer: HSBC

Compensation: 6 figure job

Location: Middle East

The Job

The incumbent will manage and oversee the **Third Party Information Security Risk governance** processes in the Middle East region, based on the internal 3rd Party Security Review methodology, aligned to ISO27001:2005.

She/he will be responsible in identifying information security risks across all existing third party contracts, organise inspection visits to third party sites, creating remedial plans where required and monitoring remedial action plans via re-reviews.

This role may also deputise in the absence of the Regional ISR Manager.

KEY RESPONSIBILITIES

Impact on the Business

- Identify and mitigate information security risk relating to 3rd Party Screening of existing vendors.
- Coordinate Middle East TPRG (Third Party Risk Governance) activities
- Apply specialist expertise to advise business of remedial actions.
- Ensure compliance HSBC policies and standards for any new vendors.
- Ensure constant ongoing reviews are conducted for all 3rd Party Vendors

Customers / Stakeholders

- Provide professional oversight, support and guidance to the regional businesses.
- Work with the business on remedial actions and obtain their buy-in on plans.
- Monitor progress on remedial action plans.
- Work on resolving enduring problems with time bound remedial action plans.
- Coordinate Middle East delivery of TPRG awareness calendar.
- Provides support to Middle East Business Information Risk Officers (BIROs) on relevant TPRG sections of Group FIM (Finance Instruction Manual).

Leadership & Teamwork

- Ability to take ownership of day to day tasks and ensure timely resolution of the matters.

- Ability to lead the team of contractors/ reviewers to prioritise work and successfully deliver service within agreed levels in a dynamic environment.
- Support the direct team, where they face issues in obtaining business /vendor buy in on the TPRG process.
- Provide guidance and coaching to the team when required.

Operational Effectiveness & Control

- Ensure compliance with all relevant internal instructions (FIMs) and external regulatory requirements.
- Participates & produce deliverables for Vendor Security Functional Team Compétences

Major Challenges

- Need to win business sponsorship on every issue
- Ability to multitask on concurrent contracts that may vary in complexity.
- Ability to deal with an array of management from junior to senior level management.
- Need to manage a large portfolio of third parties and associated risk across the ME region.

Knowledge & Experience / Qualifications

- Typically educated to a degree/ Master's level.

Possession of industry certifications would be given preference

- Proven experience in vetting of Contractors
- Experience in an Information Security administration/ analyst role is preferred.
- Appropriate courses associated with project management.

- Project Management best practice (tools and methodology).
- Excellent command of English language and report writing skills.
- Knowledge of ISO 27001:2005. PCI-DSS, BITS
- Ability to be tactful and diplomatic
- Ability to take a pragmatic approach to problem solving.

HSBC Bank Middle East Ltd has been a principal member of the HSBC Group since 1959. The Bank's unique relationship with the Middle East dates back more than a century.

Today HSBC Bank Middle East Ltd is the largest and most widely represented international Bank in the Middle East, with 30 branches and offices throughout the United Arab Emirates, Oman, Bahrain, Qatar, Jordan, Lebanon and the Palestinian Autonomous Area.

This extensive regional coverage is strengthened by another member of the HSBC Group, HSBC Bank Egypt, and by its associate companies, The Saudi British Bank and the British Arab commercial Bank.

In addition to its Middle Eastern network, the Bank has a branch in London, UK, and a representative office in Tehran, Iran.

The Bank offers personal financial services, corporate and institutional banking, global cash management, trade services, treasury and custody and clearing services.

HSBC plays an important role in the communities which it serves by supporting a wide range of charitable and community projects, principally through the HSBC in the Community Middle East Foundation, a £1 million sponsorship initiative.

Headquartered in London, HSBC Holdings plc is one of the largest banking and financial services organisations in the world with some 9,500 offices in 79 countries and territories in Europe, the Asia-Pacific region, the Americas, the Middle East and Africa.

Job Description 55:
Analyst, Insurance & Risk Management

Compensation: 6 figure job

The Job

We are passionate about improving the quality of life for people with immune deficiencies, hemophilia, von Willebrand disease and genetic emphysema.

Our plasma-derived products also help prevent blood-related diseases in newborns and are used in cardiac surgery, organ transplantation and wound healing.

General Accountabilities:

* Assist with all insurance renewals including managing the compilation of renewal information from all necessary divisions/site/functions of the company globally, updating Excel spreadsheets, creating spreadsheets, creating/updating presentations and completing insurance renewal applications.

Will interact with insurance broker to ensure compliance with informational needs and agreed renewal timelines.

* Ensure regularly scheduled input is provided for Risk Management committee (RMC) meetings.

Assist in coordination of RMC meetings.

Ensure that the Excel-based Risk Reporting Tool is updated on a scheduled basis.

Assist Director, Global Risk & Insurance Management (DGRIM) in production of reports from the Risk Reporting Tool for the Group Risk Management Committee meetings and the Audit and Risk Management Committee (ARMC) of the Board.

Ensure that the data submitted for reports is accurate and consistent across all report formats.

*** Will manage claims involving Auto, Workers' Compensation (including working with the RMIS (eCARMA) of WC insurer, Travelers), General Liability and Business Travel Insurance.**

Will liaise with HS&E managers on Workers' Compensation case management.

*** Assist DGRIM in maintaining and updating the Insurance and Risk Management Intranet web pages.**

*** Maintain Insurance Policy Files and keep track of policies received post-renewal.**

Enter and keep current insurance policies into Lex contracts database.

*** Maintain copies of all insurance and risk management related invoices.**

Track all invoices and ensure payment in a timely manner.

Ensure accuracy of invoices against contracts, agreements and insurance policies.

*** Oversee Property Values compilation for annual property insurance renewals.**

Manage data of Risk Control Engineering program including managing online reports, recommendations and recommendation responses from site management.

Will learn and understand use of Business Interruption Value Stream Model.

*** Interaction with Broker(s) and other vendors/consultants on behalf of or in the absence of the DGRIM.**

Procure Certificates of Insurance through Broker when needed by suppliers, vendors, customers (internal and external), or other parties.

* Assist DGRIM in the coordination of Health, Safety & Environment audit process including planning HS&E group meetings, compilation of audit data and preparation of reports for senior management.

Qualifications:

Training and Experience:

* Bachelors' Degree.

* Minimum five years experience working in a corporate environment, preferably in a manufacturing company.

Pharmaceutical / biotechnology a plus.

* Risk Management and/or Finance/ Project Management experience a plus.

* Working knowledge and experience with MS Work, Excel, PowerPoint, Access, Crystal Reports, Internet and Intranet.

Excellent verbal and written communication skills.

Job Description 56:

Sr. Manager, Pharmaceutical Risk Management

Compensation: 6 figure job

Job Family: Clinical Research

Job Category: Full-Time

Division: Global Pharmaceutical R and D

Percent of Travel: 20 - 50 %

Country: USA

State/Province: Illinois

The Job

At Abbott, we provide the perspectives and experience necessary to translate science into real solutions for patients in over 130 markets worldwide.

Today, 72,000 Abbott employees are doing work that matters.

Pharmaceutical risk management is an emerging, cross-disciplinary and behavioral science-based field in the pharmaceutical industry.

It involves **knowledge of program evaluation and research methods, behavioral interventions, self-reported outcomes, health care systems and statistics.**

As applied in the pharmaceutical industry, risk management involves developing strategies to minimize the risks of a drug to patients once it is commercially available.

Risk management strategies involve identifying and deploying one or more **risk minimization “tools”** Examples of a risk management tool include a Patient Medication Guide (i.e., a pamphlet that details risk information about a drug and how to use and store a medication appropriately), a Physician Checklist (i.e., a decision support aid to guide physicians in selecting the appropriate patients for a drug), web-based “academic detailing.”

As many existing risk minimization tools are of limited effectiveness, there is a need to develop and test new tools as well.

The Senior Manager, Risk Management will work closely with the Senior Scientific Director, Risk Management and the Global Project teams in developing, implementing and evaluating risk management strategies in support of Abbott products.

This role will also serve as a key interface for educating internal and external audiences regarding the purpose and science of pharmaceutical risk management.

Additionally, this role will involve working closely with the Senior Scientific Director, Risk Management to develop and execute a program of risk management research in support of current or potential risk management commitments for Abbott products.

Major Job Responsibilities:

- Conduct quantitative and qualitative analyses of risk management data in support of HUMIRA's risk management commitments.
- Develop and implement structures, procedures and methods for tracking, organizing and presenting risk management information.
- Conduct literature reviews and prepare summaries of findings on risk management topics, including use and testing of risk management tools.
- Serve as back-up chair/co-chair of HUMIRA Risk/Benefit Communication Team, REMS Working Group, HUMIRA Pen Risk Management Working Group, Risk Communication & Mitigation Research Committee (RCMRC).
- Prepare abstracts, analyses, manuscripts and other written reports.
- Monitor status of ongoing risk management research initiatives.
- Recommend initiation of new risk management research initiatives.

Position will be filled commensurate of candidate's skills and experience.

Position will report directly to the Senior Scientific Director, Risk Management.

Skills/Experience Requirements

Basic Qualifications:

- A PhD 6+ years of experience (in a field such as psychology, behavioral medicine, health services research, public health, program evaluation, communication science), an M.D. or a PharmD with strong quantitative skills.
- Training and research credentials are required to execute the job adequately.
- Must be able to effectively negotiate, and communicate with peers across the organization as well as with upper level management.

Preferred Qualifications:

- PhD with a minimum of 6 years experience of academic research in behavioral medicine, psychology, health services research or public health or experience working in the pharmaceutical industry.

Education Requirements

PhD, MD or PharmD

Significant Work Activities & Conditions Continuous sitting for prolonged periods (more than 2 consecutive hours in an 8 hour day)

Job Description 57:

Insurance Market Ratings Analyst – Solvency II

Compensation: 6 figure job

Company: Financial Services Authority

Job type: Permanent

The Job

If you have experience of assessing the credit rating of insurance and reinsurance companies, the **Financial Services Authority (FSA)** can give you an unparalleled view of Solvency II development and implementation.

We are currently developing our Solvency II internal model assessment approach.

Your technical specialist role within the Solvency II & Enterprise Wide Risk Management Team of our Prudential Risk Division will put you at the forefront of the insurance industry's understanding of capital modelling and the evolution of quantified risk within the new regulatory framework.

Taking ownership of a portfolio of firms, you'll review and challenge their assessment of reinsurance risk (and possibly other elements of insurance risk according to your experience), before leading the model approval process through to implementation.

This will involve liaising closely with colleagues across the FSA, providing thought leadership on Solvency II issues and shaping best practice.

You'll also input the design and management of projects relating to internal model assessment.

A detailed understanding of insurance financial statements and credit ratings will ideally be combined with experience of capital modelling plus knowledge of ICAS and developments in the Solvency II regime.

A self-starter with considerable commercial acumen, you'll need the integrity to challenge accepted practices along with the credibility to negotiate and influence at the highest levels.

If you're keen to contribute your skills and experience to Solvency II implementation, find out more and apply at www.careersatfsa.com, quoting reference X00020. However, please do not apply via the 'Apply Now' button below as your application will not be accepted.

Job Description 58: **Solvency 2 Project Manager**

Type: Contract
Salary / Rate : £500 - £550 per day
Location : Middlesex
Duration : 6+mths

The Job

Prestigious client requires an accomplished Project Manager with good experience of Solvency 2 to work on a large Solvency project.

You will be responsible for **assessing the systems architecture and data requirements**.

Providing oversight to all systems changes / implementations.

You will need to manage the execution of the individual work stream processes, including plans, delivery, issues, resources and budgets.

Essential

Management and mitigate risks.

Mobilise and manage resources/budgets within the workstream.

Solvency II or Solvency I experience.

Job Description 59:

Watch Programme Manager - Solvency II

Location: Scotland

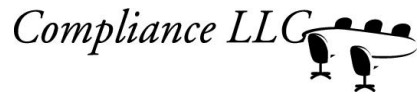
Salary: £500 - £650 per day

The Job

A Programme Manager is required for a leading Life & Pensions company to **work on the organisation's Solvency II change programme.**

This is a business side project so IT project managers need not apply. However, due to the technical nature of the Solvency II programme the Project Manager will have to have substantial Life & Pensions **experience along with quality experience of working on regulatory change programmes.**

It would also be highly **advantageous** if they have experience of working with **actuarial, risk or finance teams, preferably all three.**



Compliance LCC

HQ: 1220 N. Market Street Suite 804, Wilmington, DE 19801, USA

Tel: +1 (302) 342-8828

Mail: 1200 G Street NW Suite 800, Washington, DC 20005, USA

Tel: +1 (202) 449-9750

Web: www.compliance-llc.com

Lyn Spooner: (302) 342-8828

Email : lyn@compliance-llc.com

George Lekatis: (202) 449-9750

Email: lekatis@compliance-llc.com

